

## Lecture 9: Speech Recognition: Front Ends

- 1 Recognizing Speech
- 2 Feature Calculation

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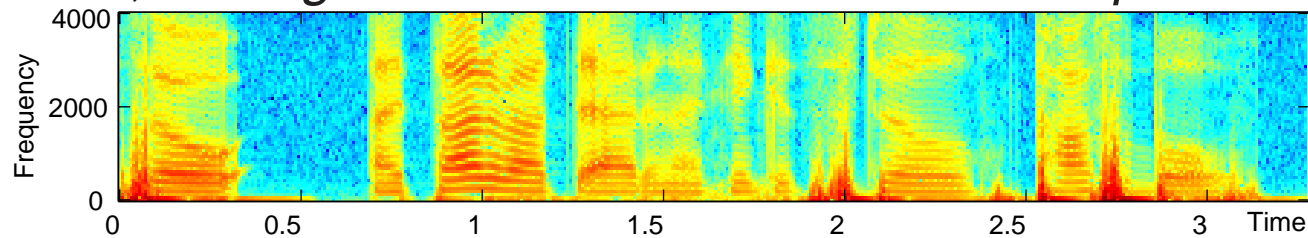
Columbia University Dept. of Electrical Engineering  
Spring 2003



# 1

## Recognizing Speech

*“So, I thought about that and I think it’s still possible”*



- What kind of **information** might we want from the speech signal?
  - words
  - phrasing, ‘speech acts’ (prosody)
  - mood / emotion
  - speaker identity
- What kind of **processing** do we need to get at that information?
  - **time scale** of feature extraction
  - signal aspects to **capture** in features
  - signal aspects to **exclude** from features



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## Speech recognition as Transcription

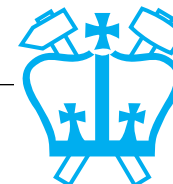
- **Transcription = “speech to text”**
  - find a word string to match the utterance
- **Best suited to small vocabulary tasks**
  - voice dialing, command & control etc.
- **Gives neat objective measure: word error rate (WER) %**
  - can be a sensitive measure of performance
- **Three kinds of errors:**

*Reference:* THE CAT SAT ON THE MAT  
*Recognized:* - CAT SAT AN THE A MAT

A diagram illustrating three types of transcription errors. Red arrows point from labels below to specific words in the 'Recognized' line. 'Deletion' points to the hyphen '-' before 'CAT'. 'Substitution' points to 'AN' instead of 'ON'. 'Insertion' points to 'A' before 'MAT'.

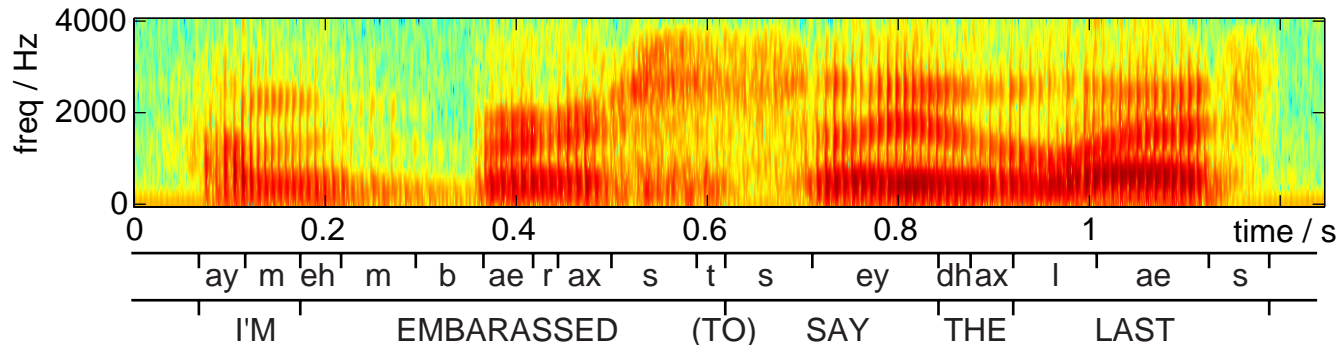
*Deletion      Substitution      Insertion*

-  $WER = (S + D + I) / N$



## Limitations of the Transcription paradigm

- **Starts to fall down with ‘natural’ speech**
  - some “words” may not even exist



- **Word transcripts do not capture everything**
  - speaker changes, intonation, phrasing
- **Word error rate treats all errors as equal**
  - small words (“of”) counted as big words
  - small differences (“company’s” → “companies”) vs. larger (“held police” → “health plans”)
- **Move towards other measures**
  - e.g. task-defined:  
was the *meaning* recognized?



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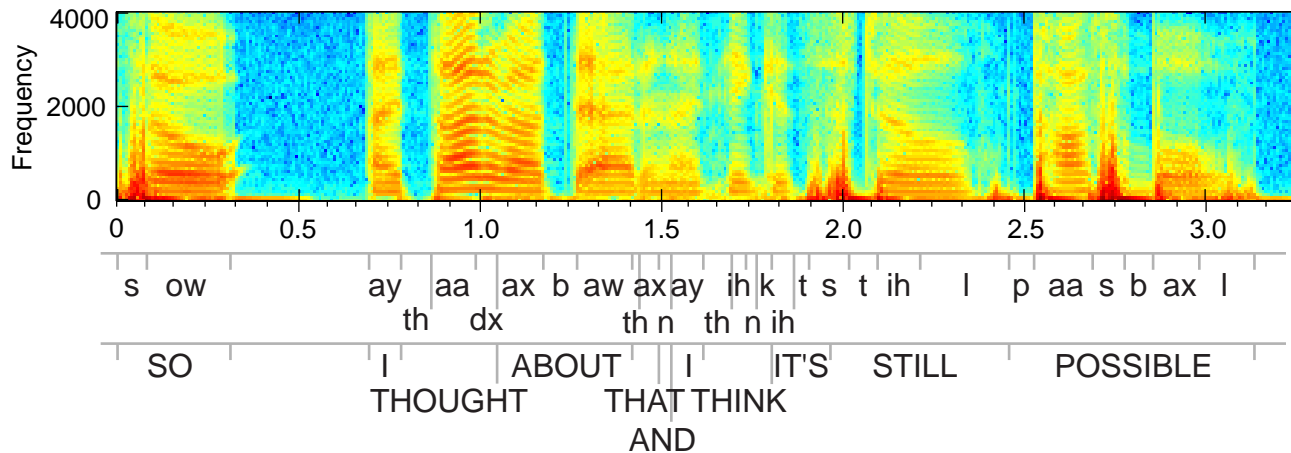
## Why is Speech Recognition hard?

- **Why not match against a set of waveforms?**
    - waveforms are never (nearly!) the same twice
    - speakers **minimize information**/effort in speech
  - **Speech variability comes from many sources:**
    - speaker-dependent (SD) recognizers must handle **within-speaker** variability
    - speaker-independent (SI) recognizers must also deal with variation **between speakers**
    - all recognizers are afflicted by background **noise**, variable **channels**
- **Need recognition models that:**
- **generalize** i.e. accept variations in a range, and
  - **adapt** i.e. 'tune in' to a particular variant



## Within-speaker variability

- **Timing variation:**
  - word duration varies enormously



- fast speech 'reduces' vowels
- **Speaking style variation:**
  - careful/casual articulation
  - soft/loud speech
- **Contextual effects:**
  - speech sounds vary with context, role:  
"How **do** you **do**?"

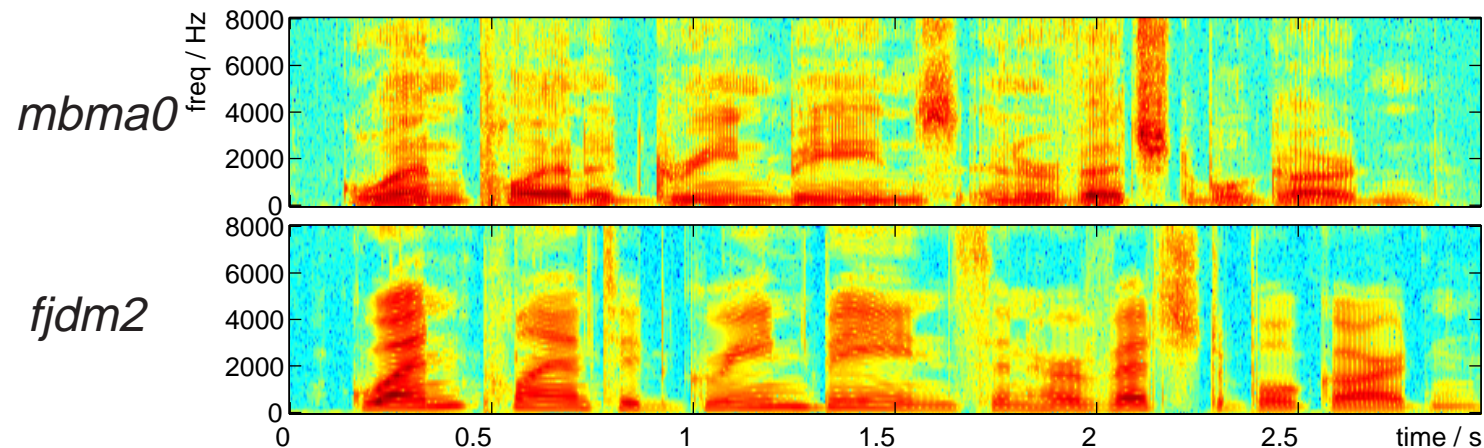


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## Between-speaker variability

- **Accent variation**
  - regional / mother tongue
- **Voice quality variation**
  - gender, age, huskiness, nasality
- **Individual characteristics**
  - mannerisms, speed, prosody

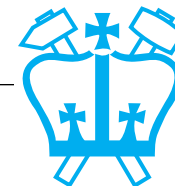
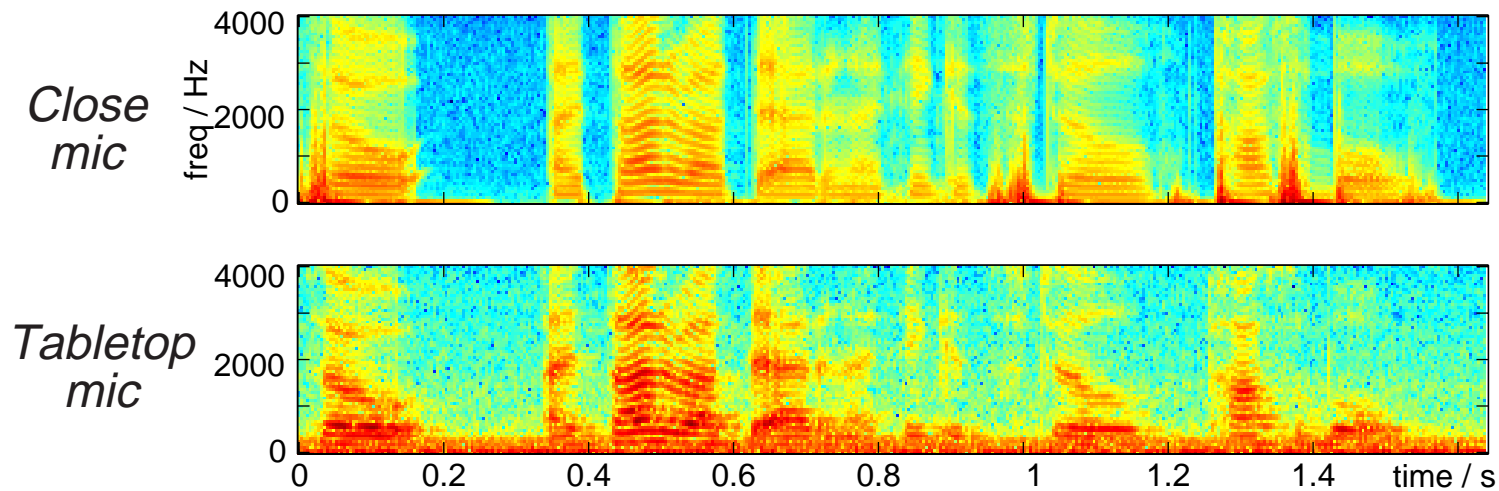


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## Environment variability

- **Background noise**
  - fans, cars, doors, papers
- **Reverberation**
  - 'boxiness' in recordings
- **Microphone channel**
  - huge effect on relative spectral gain



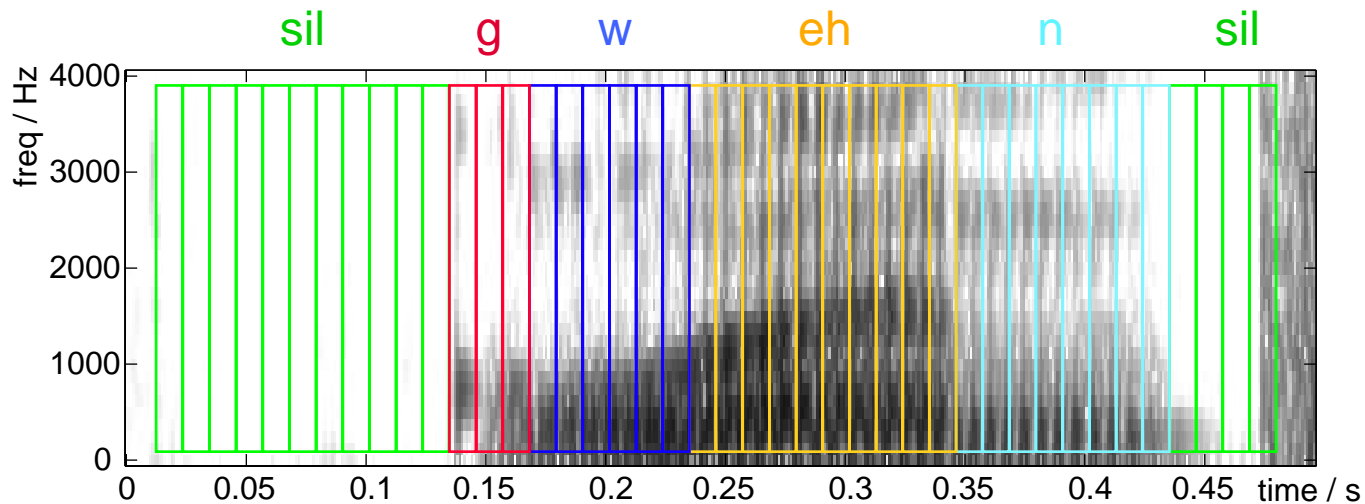


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## How to recognize speech?

- **Cross correlate templates?**
  - waveform?
  - spectrogram?
  - **time-warp** problems
- **Match short-segments & handle time-warp later**
  - model with **slices** of ~ 10 ms
  - pseudo-stationary model of words:



- other sources of **variation**...



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## Which segments to use?

- **Assume words can be broken down into pseudo-stationary segments**
  - not a perfect fit, but worth a try
- **Linguists offer phonemes or phones**
  - phonemes are the minimal set needed to disambiguate words
  - phones are realizations of phonemes
- **Other possibilities:**
  - data-clustering techniques to define segments 'intrinsically'
  - lesson from synthesis: transitions as important or more important than steady portions?  
...but how to model?



# Probabilistic formulation

- **Probability** that segment label is correct
  - gives standard form of speech recognizers:

- **Feature calculation**

transforms signal into easily-classified domain

$$s[n] \rightarrow X_m \quad \left( m = \frac{n}{H} \right)$$

- **Acoustic classifier**

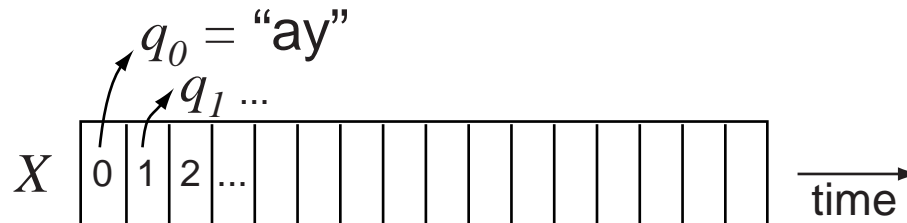
calculates probabilities of each mutually-exclusive state  $q^i$

$$p(q^i | X)$$

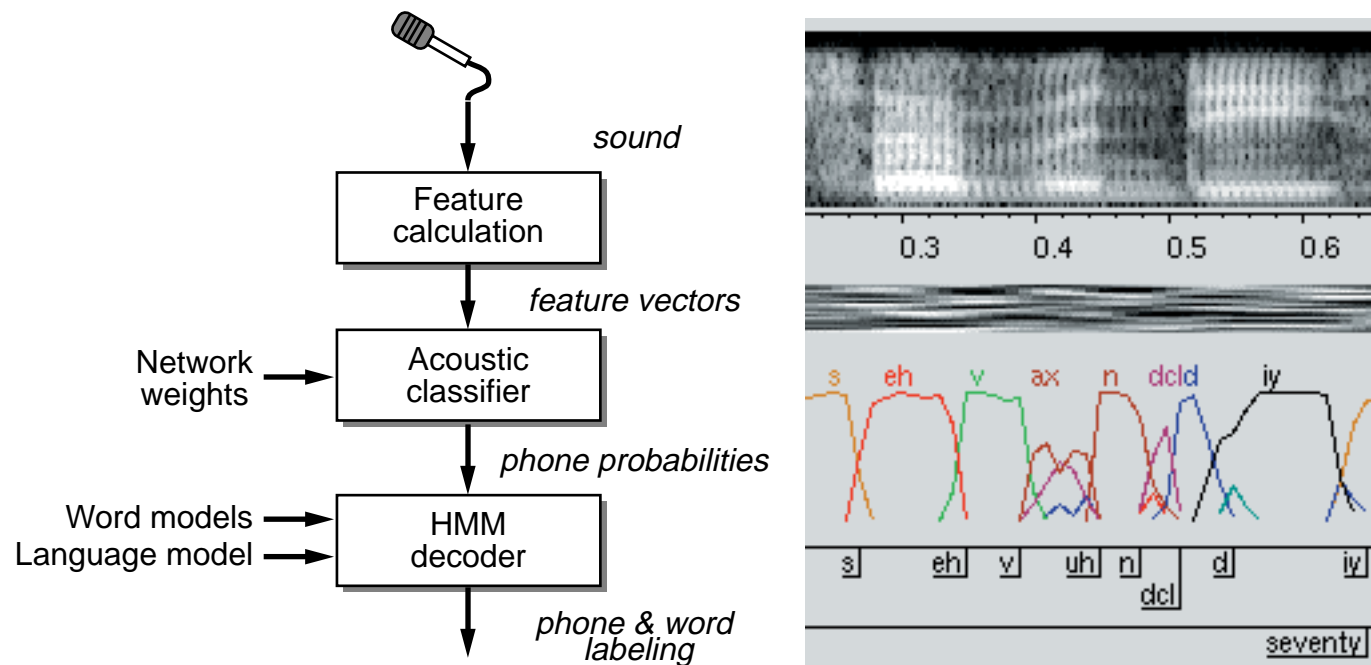
- **'Finite state acceptor' (i.e. HMM)**

$$\hat{Q} = \underset{\{q_0, q_1, \dots, q_L\}}{\operatorname{argmax}} p(q_0, q_1, \dots, q_L | X_0, X_1 \dots X_L)$$

MAP match of allowable sequence to probabilities:



# Standard speech recognizer structure



- **Questions:**
  - what are the best features?
  - how do we do the acoustic classification?
  - how do we find/match the state sequence?



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# Outline

- 1 Recognizing Speech
- 2 **Feature Calculation**
  - Spectrogram, MFCCs & PLP
  - Improving robustness



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## 2

# Feature Calculation

- **Goal: Find a representational space most suitable for classification**
  - **waveform**: voluminous, redundant, variable
  - **spectrogram**: better, still quite variable
  - ...?
- **Pattern Recognition:**  
**Representation is upper bound on performance**
  - maybe we *should* use the waveform...
  - or, maybe the representation can do *all* the work
- **Feature calculation is intimately bound to classifier**
  - pragmatic strengths and weaknesses
- **Features develop by slow evolution**
  - current choices more historical than principled



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## Desired characteristics for features

- **Provide the ‘right’ information**
    - extract signal information for classification task
    - suppress irrelevant information
  - **Be compatible with acoustic classifier**
    - relatively low dimensionality
    - uncorrelated dimensions?
  - **Be practical**
    - applicable in ‘all’ circumstances
    - relatively inexpensive to compute
  - **Be robust**
    - so far as possible, exclude nonspeech information
- **How to evaluate features?**
- normally: just put them in a recognizer



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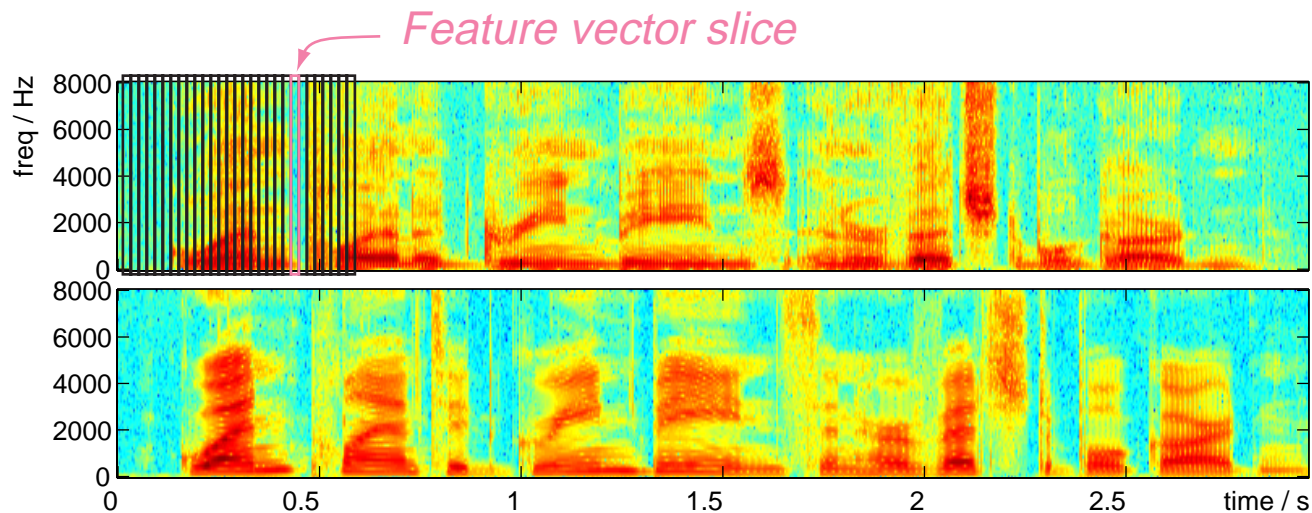
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## Features (1): Spectrogram

- Plain STFT as features e.g.

$$X_m[k] = S[mH, k] = \sum_n s[n + mH] \cdot w[n] \cdot e^{-(j2\pi kn)/N}$$

- Consider examples:



- **Similarities** between corresponding segments  
- but still large **differences**



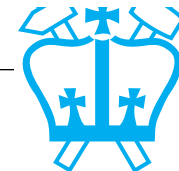
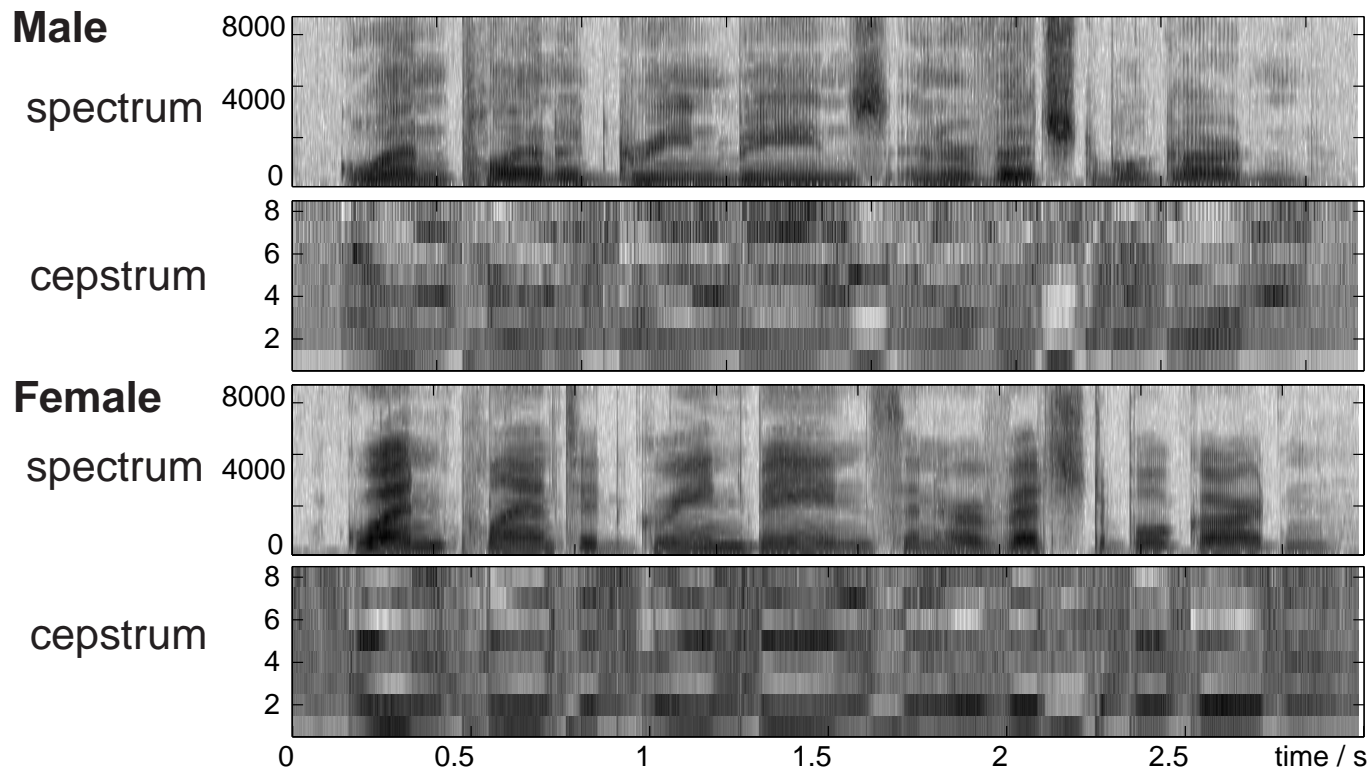


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## Features (2): Cepstrum

- **Idea: Decorrelate, summarize spectral slices:**  
$$X_m[l] = IDFT \{ \log |S[mH, k]| \}$$
  - good for Gaussian models
  - greatly reduce feature dimension

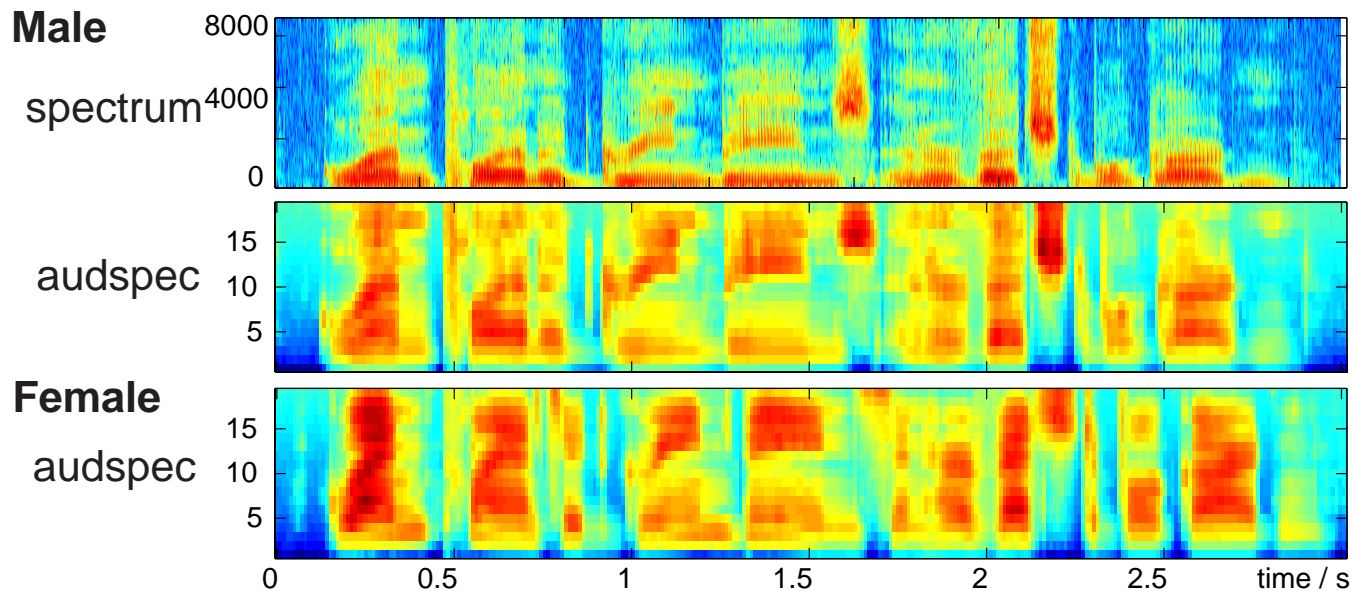


## Features (3): Frequency axis warp

- **Linear frequency axis gives equal ‘space’ to 0-1 kHz and 3-4 kHz**
  - but perceptual importance very different

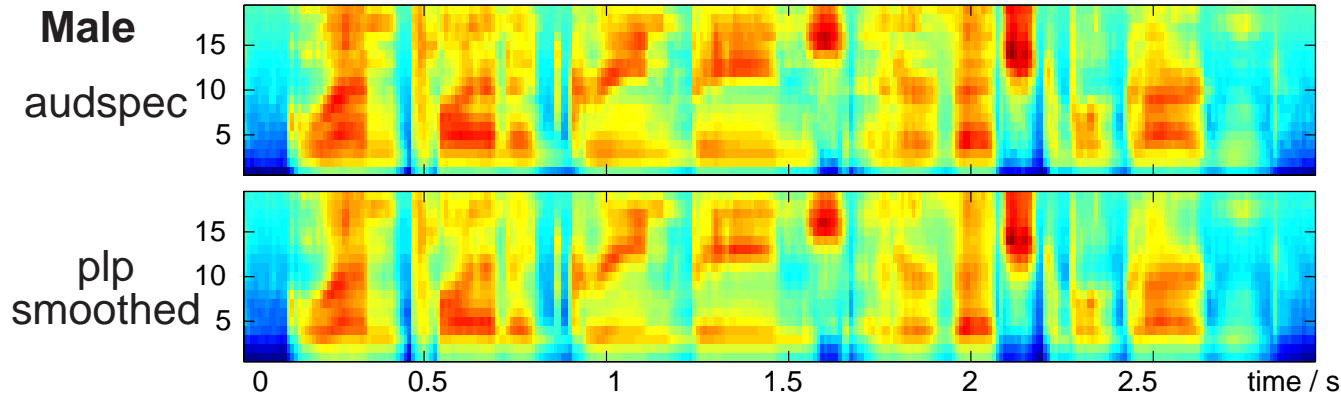
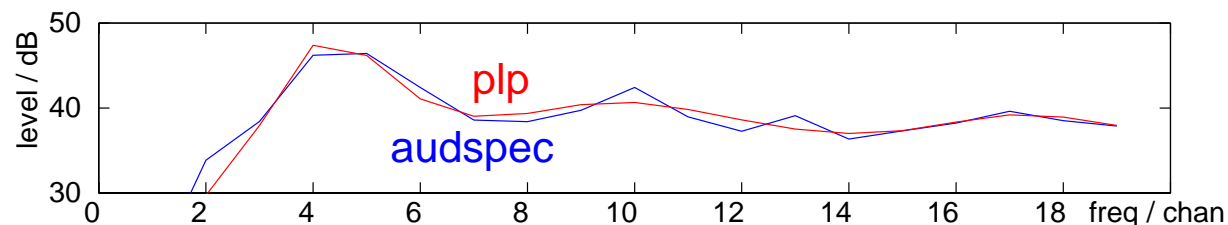
- **Warp frequency axis closer to **perceptual** axis:**
  - mel, Bark, constant-Q ...

$$X[c] = \sum_{k=l_c}^{u_c} |S[k]|^2$$



## Features (4): Spectral smoothing

- Generalizing across different speakers is helped by **smoothing** (i.e. *blurring*) spectrum
- Truncated cepstrum is one way:
  - MSE approx to  $\log|S[k]|$
- **LPC modeling is a little different:**
  - MSE approx to  $|S[k]|$  → prefers detail at peaks



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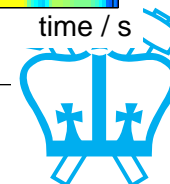
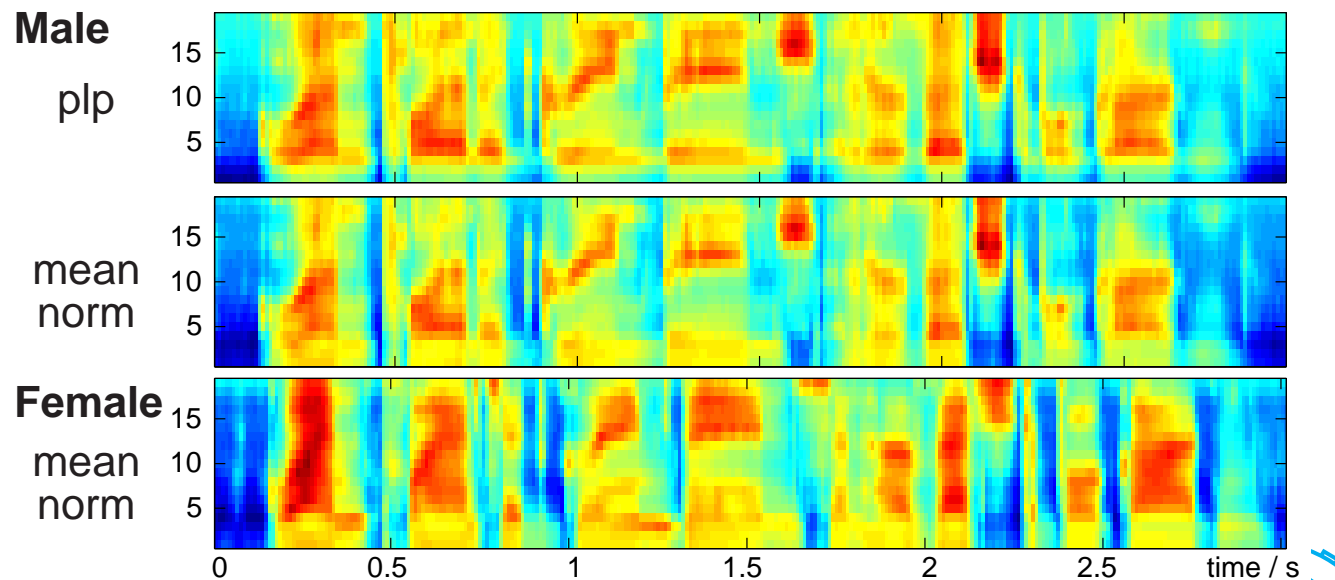
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## Features (5): Normalization along time

- Idea: feature **variations**, not absolute level
- Hence: calculate **average level** & subtract it:  
$$X[k] = S[k] - \text{mean}\{S[k]\}$$
- Factors out **fixed channel frequency response**:

$$s[n] = h[n] * e[n]$$

$$\log|S[k]| = \log|H[k]| + \log|E[k]|$$



## Features (6): RASTA filtering

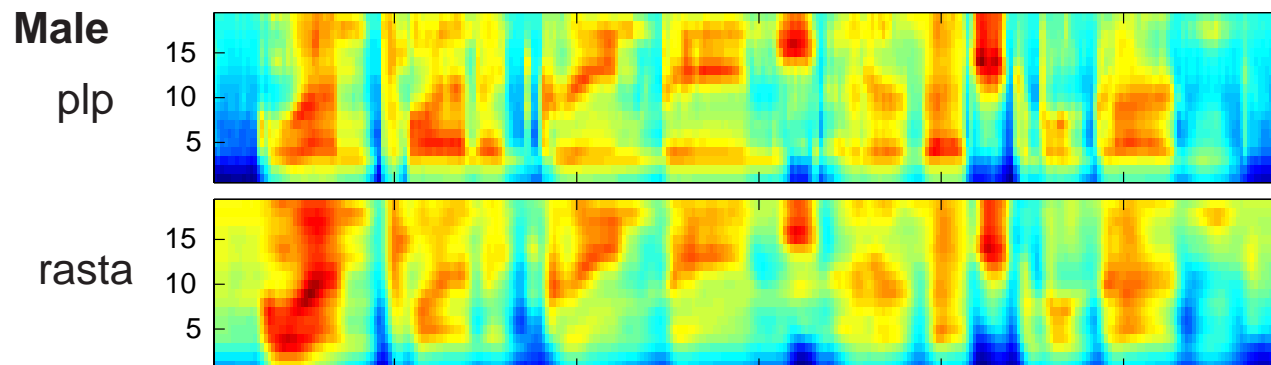
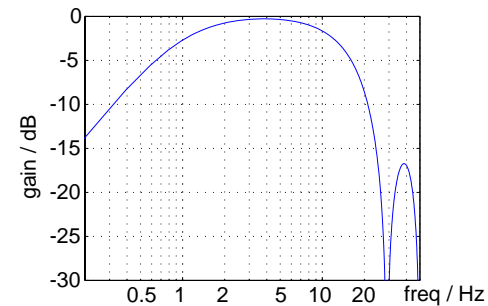
- **Mean subtraction**  $\approx$  **high-pass filtering** along time in log-spectral domain

$$X[k] = S[k] - \text{lpf}\{S[k]\}$$

- **+ smooth** along time for more blurring

→ **Bandpass filter in time**

- relates to 'modulation sensitivity' in hearing?

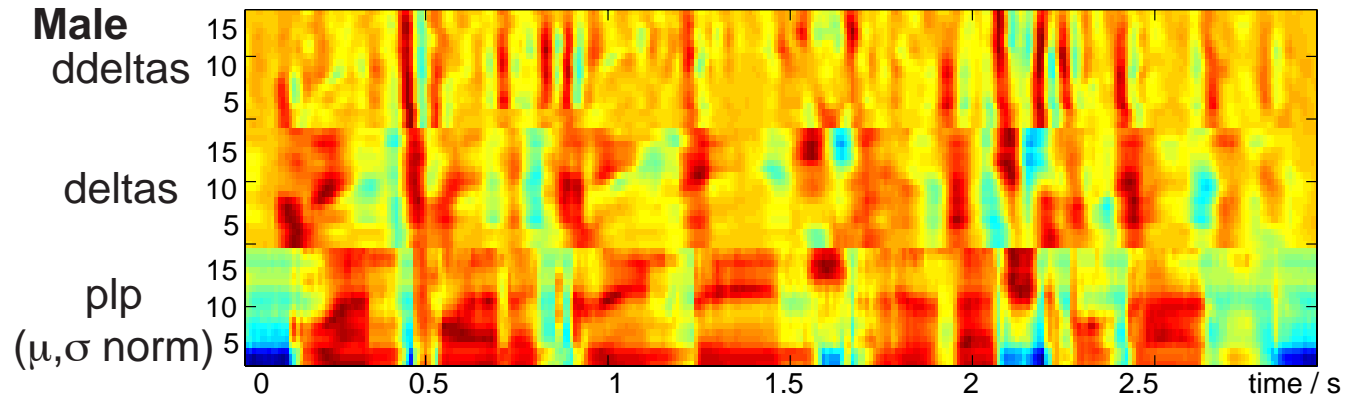


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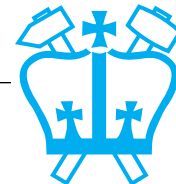
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## Delta features

- **Want each segment to have ‘static’ feature vals**
  - but some segments intrinsically dynamic!
  - calculate their derivatives - maybe steadier?
- **Append  $dX/dt$  (+  $d^2X/dt^2$ ) to feature vectors**

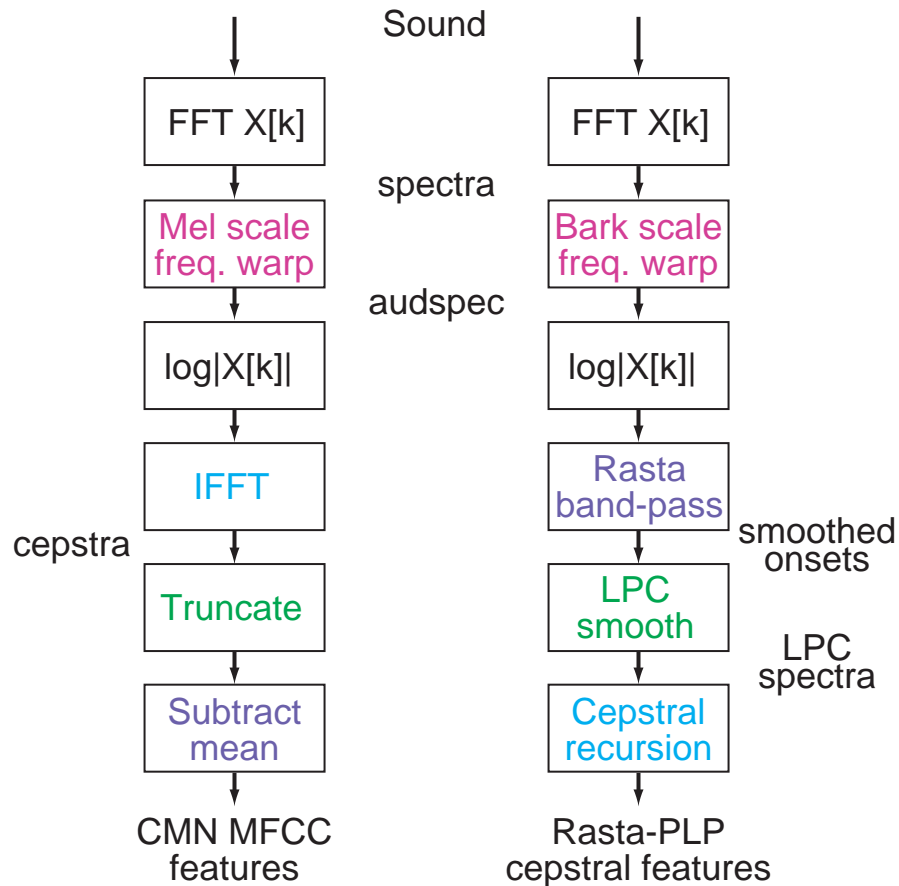


- **Relates to onset sensitivity in humans?**



# Overall feature calculation

- MFCCs and/or RASTA-PLP



- Key attributes:

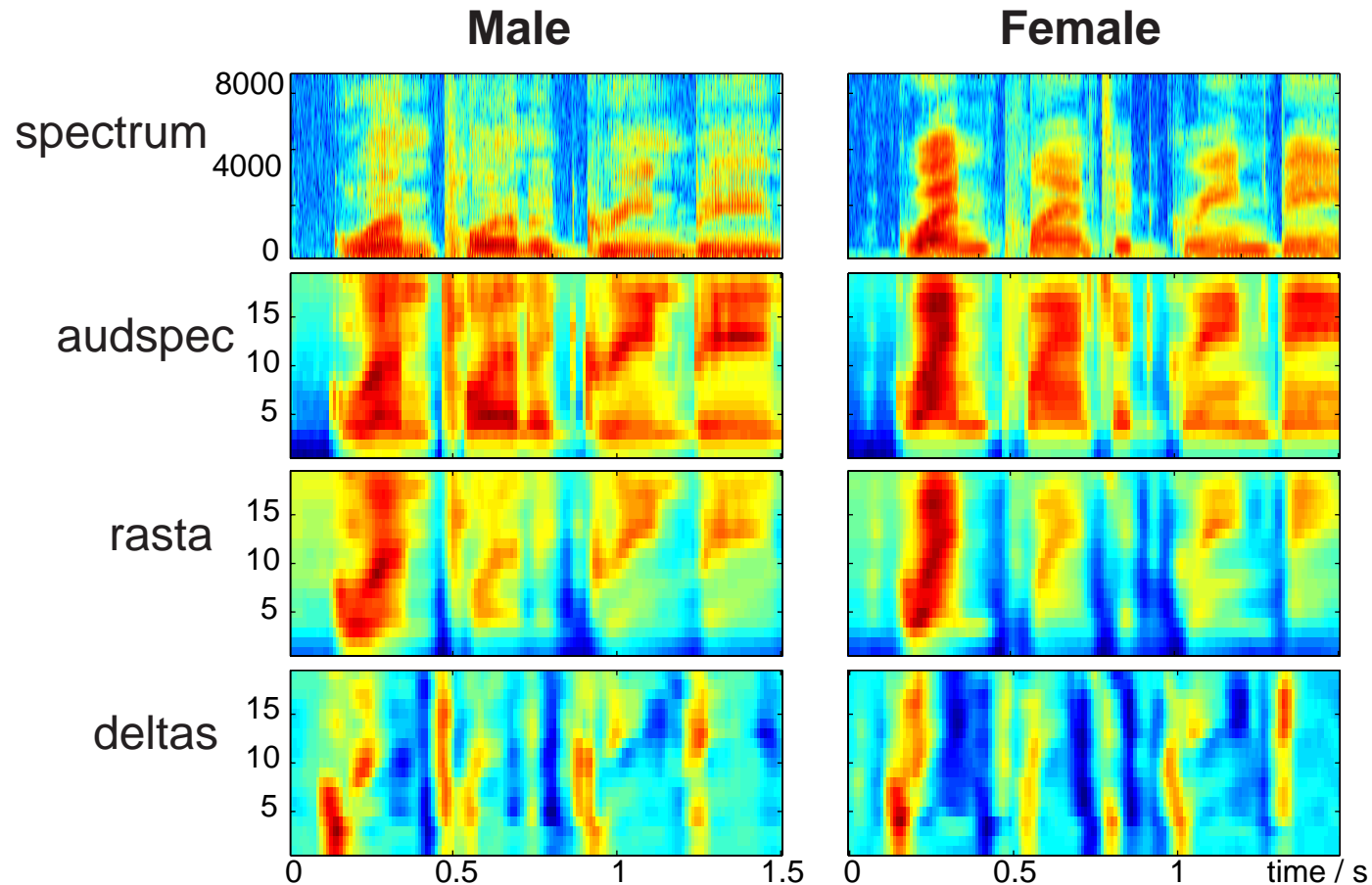
- spectral, auditory scale
- decorrelation
- smoothed (spectral) detail
- normalization of levels



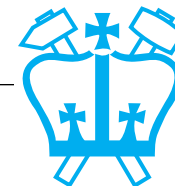
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## Features summary



- **Normalize same phones**
- **Contrast different phones**





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## Summary

- **Speech recognition as word transcription**
  - neat definition, but limited
  - hard because of variability
- **Feature calculation extracts information**
  - smoothed, decorrelated spectral parameters
  - long evolution to match classifiers

**How to actually recognize feature sequences?**

