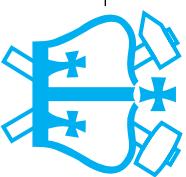


Lecture 8: Spatial sound

- 1 Spatial acoustics
- 2 Binaural perception
- 3 Synthesizing spatial audio
- 4 Extracting spatial sounds

Dan Ellis <dpwe@ee.columbia.edu>
<http://www.ee.columbia.edu/~dpwe/e6820/>

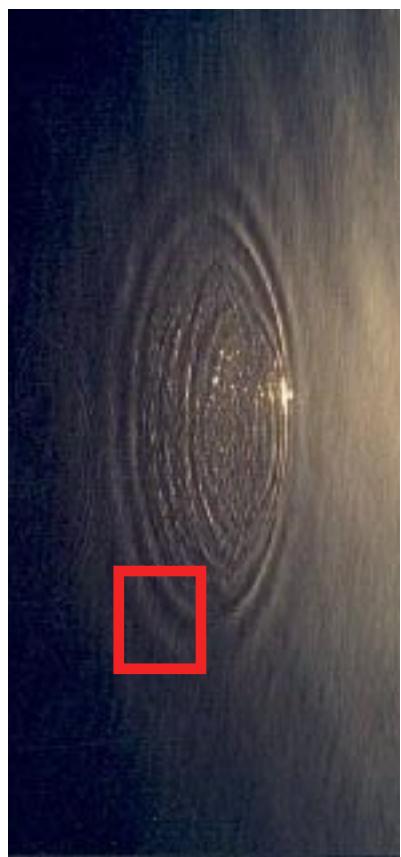
Columbia University Dept. of Electrical Engineering
Spring 2006



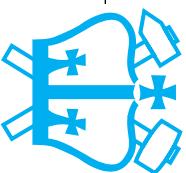
1

Spatial acoustics

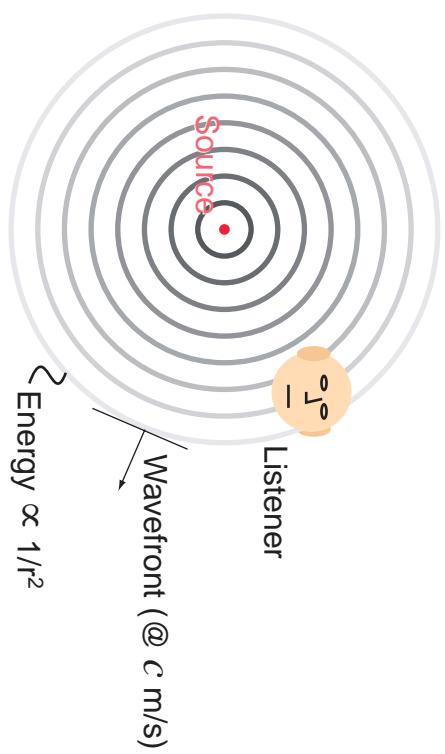
- Received sound = source + channel
 - so far, only considered ideal source waveform
- Sound carries information on its spatial origin
 - e.g. "ripples in the lake"



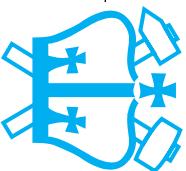
- evolutionary significance
- The basis of scene analysis?
 - yes and no - try blocking an ear



Ripples in the lake

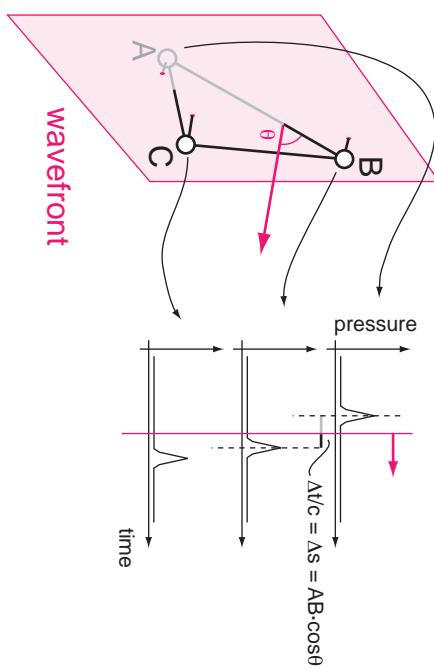


- Effect of relative position on sound
 - delay = $\Delta r/c$
 - energy decay $\sim 1/r^2$
 - absorption $\sim G(f)^r$
 - direct energy plus reflections
- Give **cues** for recovering source position
- Describe wavefront by its normal



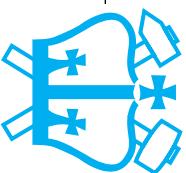
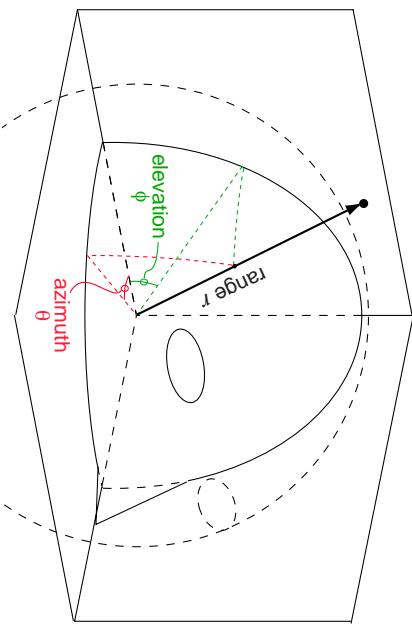
Recovering spatial information

- **Source direction as wavefront normal**
 - moving plane found from timing at 3 points



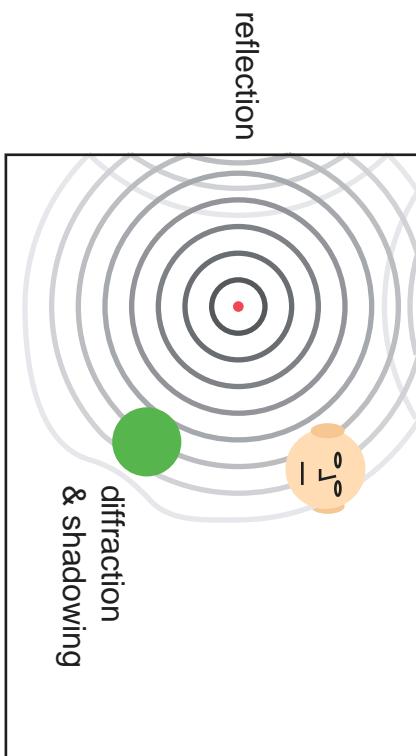
- need to solve **correspondence**

- **Space:
need 3 parameters**
 - e.g. 2 angles and range



The effect of the environment

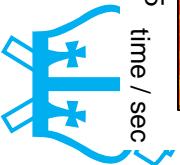
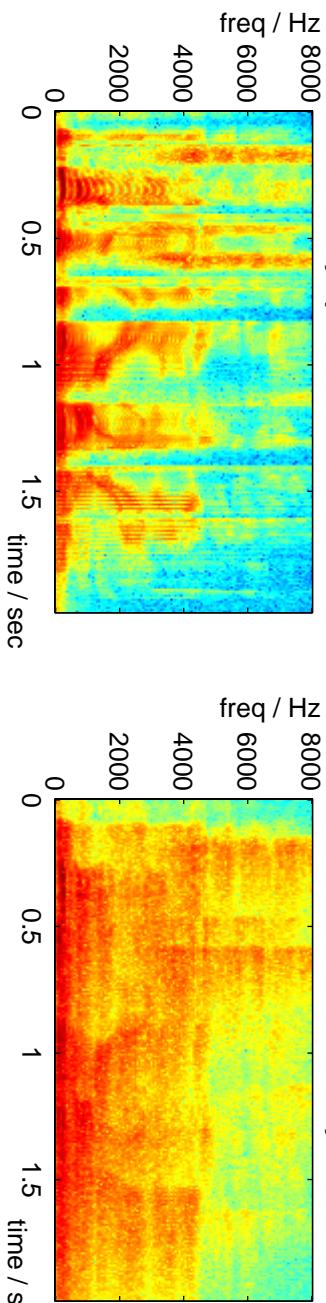
- Reflection causes additional wavefronts



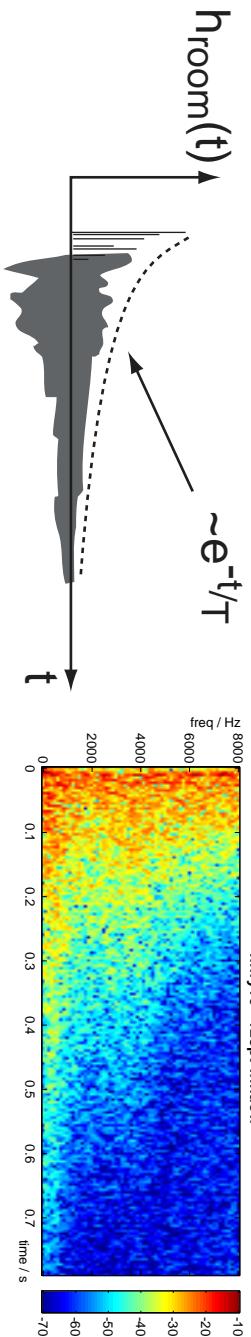
- + scattering, absorption
- many paths → many echoes

- **Reverberant effect**

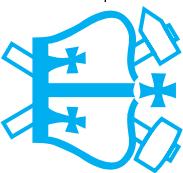
- causal 'smearing' of signal energy



Reverberation impulse response



- **Exponential decay of reflections:**
- **Frequency-dependent**
 - greater absorption at high frequencies
→ faster decay
- **Size-dependent**
 - larger rooms → longer delays → slower decay
- **Sabine's equation:**
$$RT_{60} = \frac{0.049V}{S\bar{\alpha}}$$
- **Time constant as size, absorption**



Outline

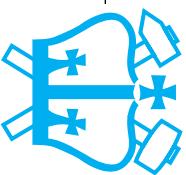
1 Spatial acoustics

2 Binaural perception

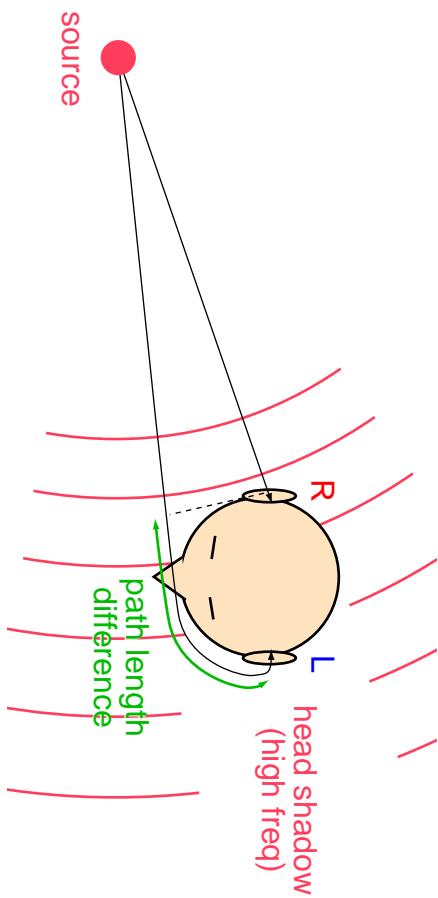
- The sound at the two ears
- Available cues
- Perceptual phenomena

3 Synthesizing spatial audio

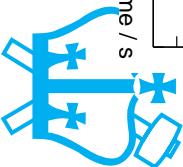
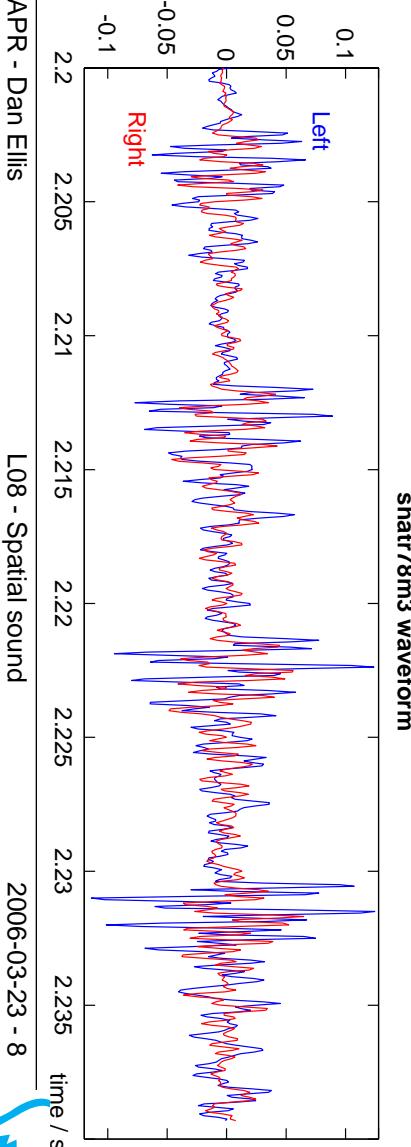
4 Extracting spatial sounds



Binaural perception



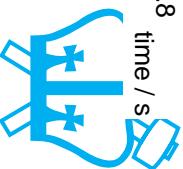
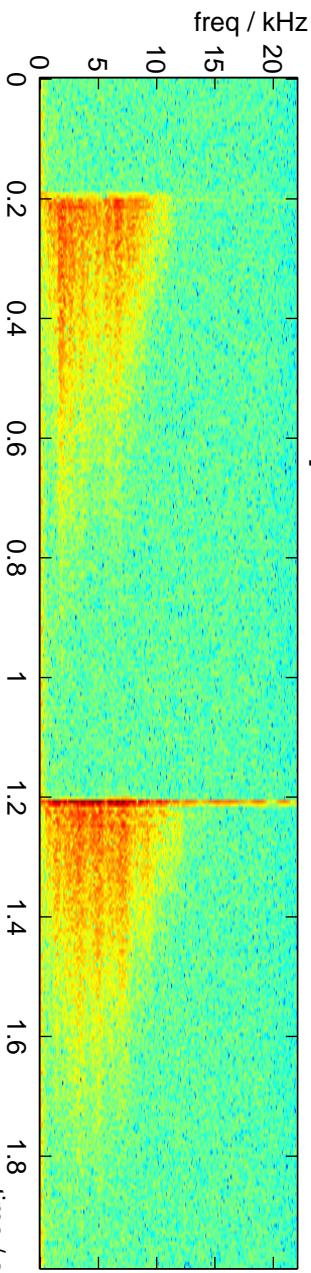
- **What is the information in the 2 ear signals?**
 - the **sound** of the source(s) ($L+R$)
 - the **position** of the source(s) ($L-R$)
- **Example waveforms (ShATR database)**



Main cues to spatial hearing

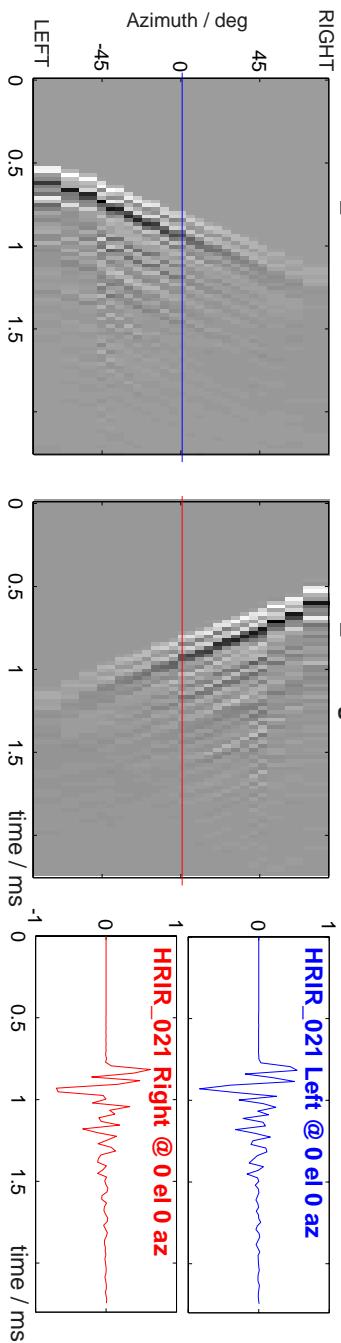
- **Interaural time difference (ITD)**
 - from different path lengths around head
 - dominates in low frequency (< 1.5 kHz)
 - max ~ 750 μ s → ambiguous for freqs > 600 Hz
- **Interaural intensity difference (IID)**
 - from head shadowing of far ear
 - negligible for LF; increases with frequency
- **Spectral detail (from pinna reflections) useful for elevation & range**
- **Direct-to-reverberant useful for range**

Claps 33 and 34 from 627M:nf90

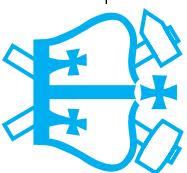


Head-Related Transfer Fns (HRTFs)

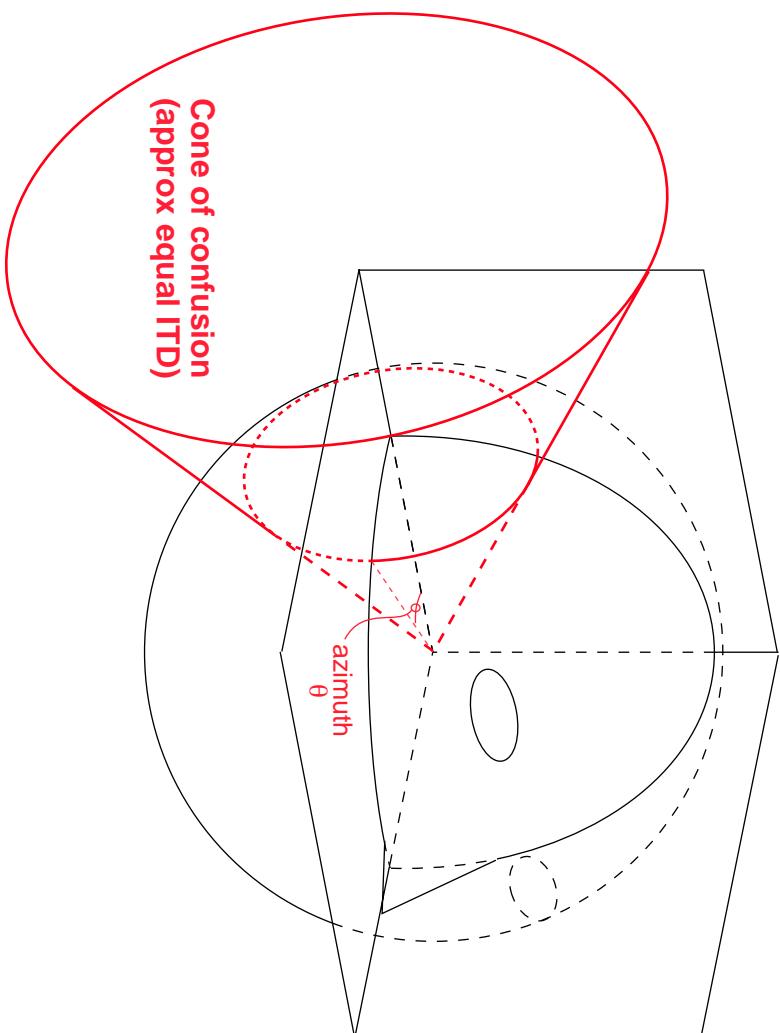
- Capture source coupling as impulse responses
 $\{l_{\theta, \phi, R}(t), r_{\theta, \phi, R}(t)\}$
- Collection: (<http://interface.cipic.ucdavis.edu/>)



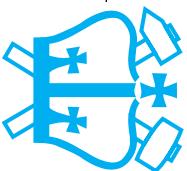
- Highly individual!



Cone of confusion

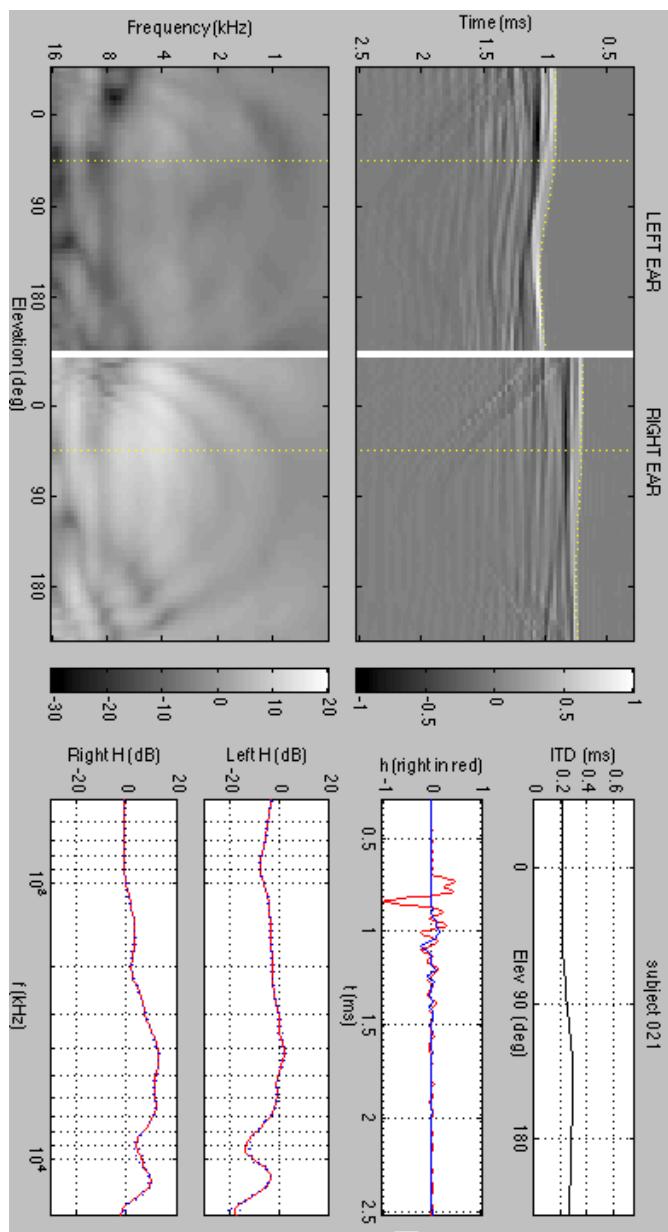


- **Interaural timing cue dominates (below 1kHz)**
 - from differing path lengths to two ears
- **But: only resolves to a cone**
 - Up/down? Front/back?



Further cues

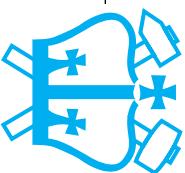
- Pinna causes elevation-dependent coloration



- Monaural perception
 - separate coloration from source spectrum?

- Head motion

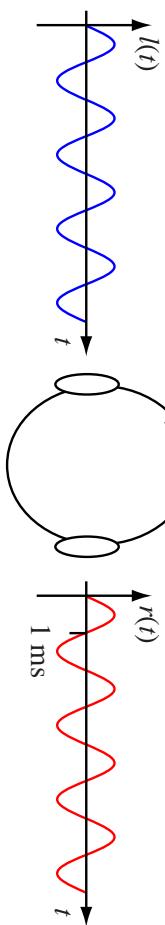
- synchronized spectral changes
- also for ITD (front/back) etc.



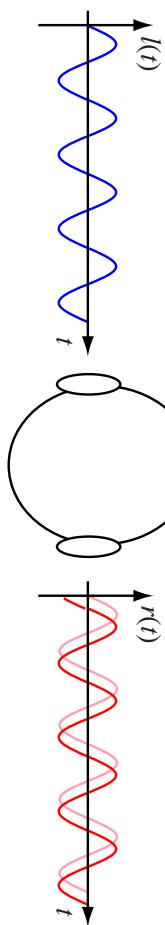
Combining multiple cues

- Both **ITD** and **ILD** influence azimuth;
What happens when they disagree?

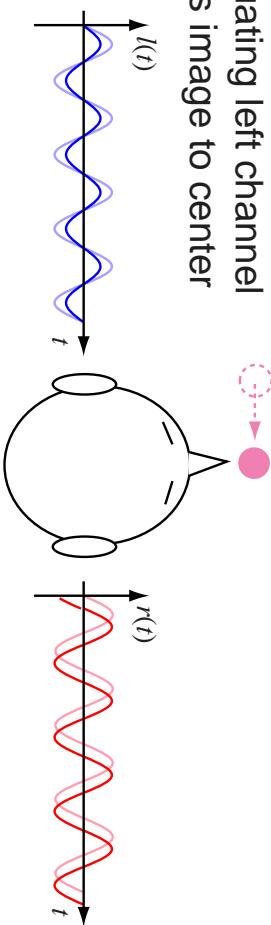
Identical signals to both ears
→ image is centered



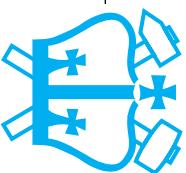
Delaying right channel
moves image to left



Attenuating left channel
returns image to center

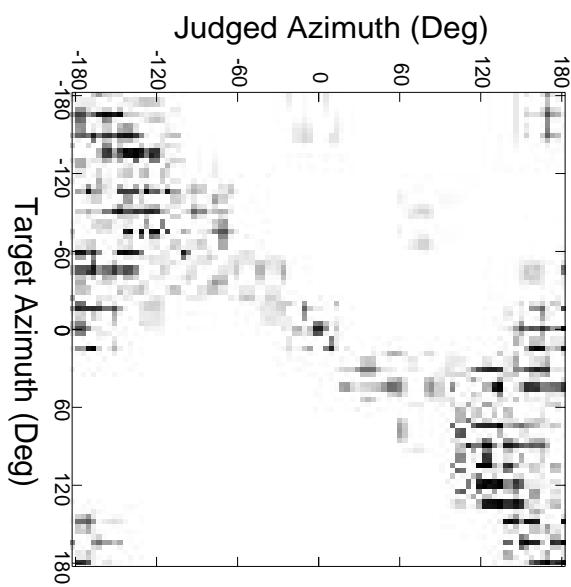


- trading @ around 0.1 ms / dB

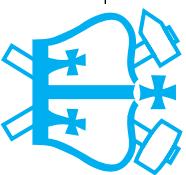


Binaural position estimation

- **Imperfect results:** (Arruda, Kistler & Wightman 1992)

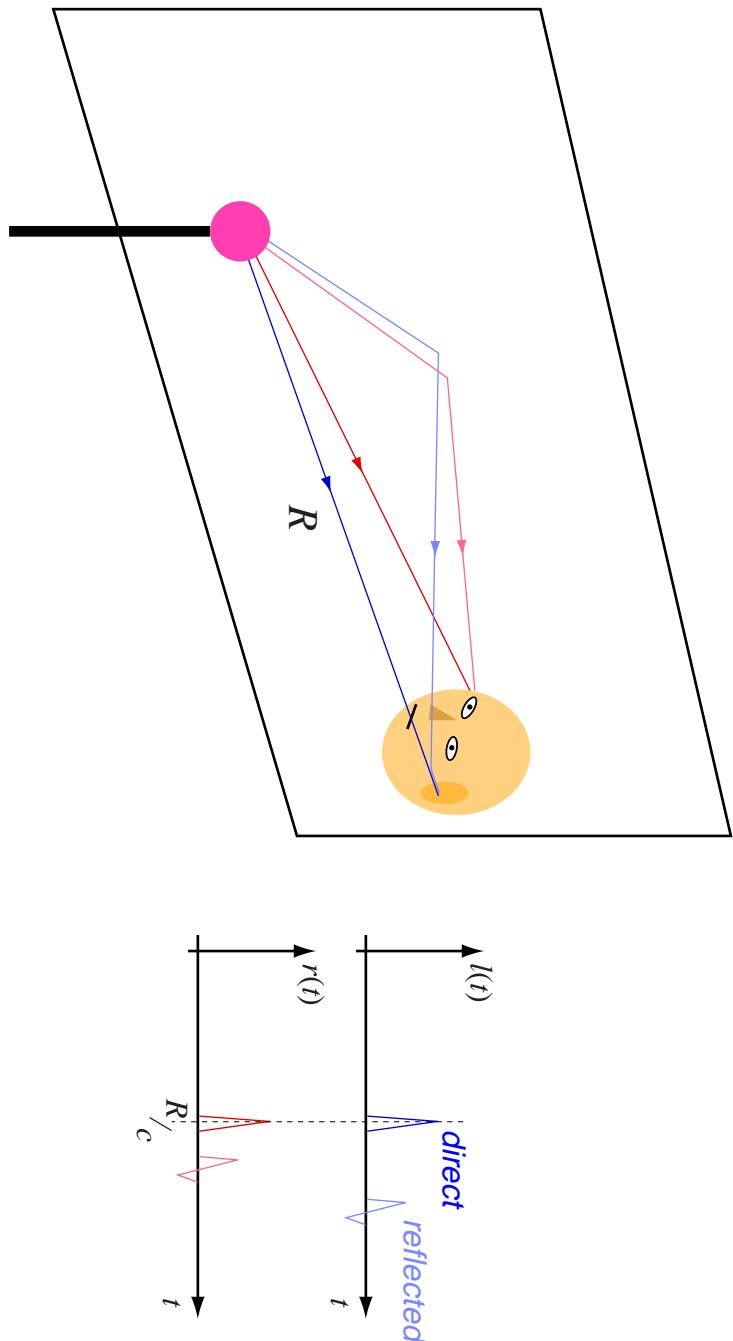


- listening to 'wrong' hrtfs → errors
- front/back reversals stay on **cone of confusion**



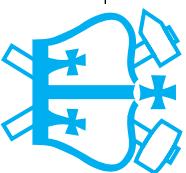
The Precedence Effect

- Reflections give misleading spatial cues



- But: Spatial impression based on **1st waveform** then 'switches off' for ~50 ms

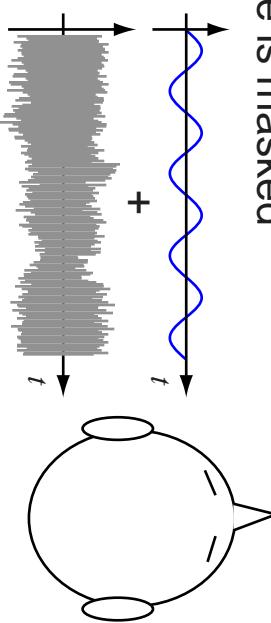
- .. even if 'reflections' are louder
- .. leads to impression of room



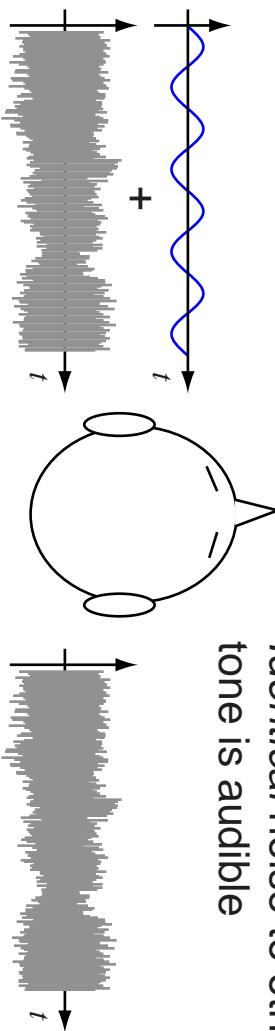
Binaural Masking Release

- **Adding noise to reveal target**

Tone + noise to one ear:
tone is masked



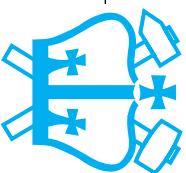
Identical noise to other ear:
tone is audible



- why does this make sense?

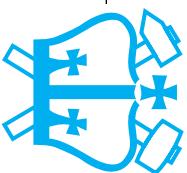
- **Binaural Masking Level Difference up to 12dB**

- greatest for noise in phase, tone anti-phase



Outline

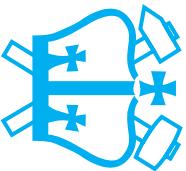
- 1 Spatial acoustics**
- 2 Binaural perception**
- 3 Synthesizing spatial audio**
 - Position
 - Environment
- 4 Extracting spatial sounds**



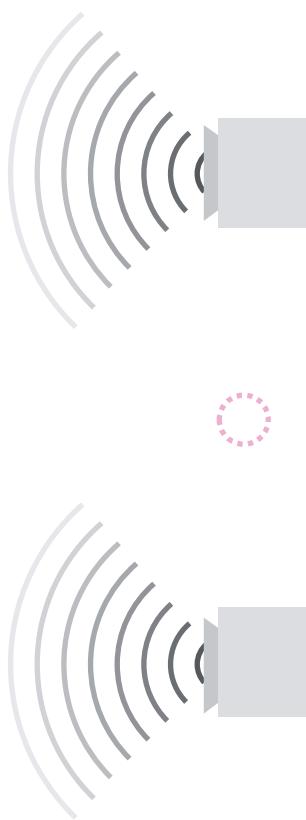
3

Synthesizing spatial audio

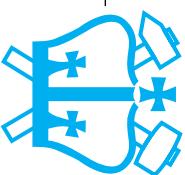
- **Goal:** recreate **realistic soundfield**
 - hi-fi experience
 - synthetic environments (VR)
- **Constraints**
 - resources
 - information (individual HRTFs)
 - delivery mechanism (headphones)
- **Source material types**
 - live recordings (actual soundfields)
 - synthetic (studio mixing, virtual environments)



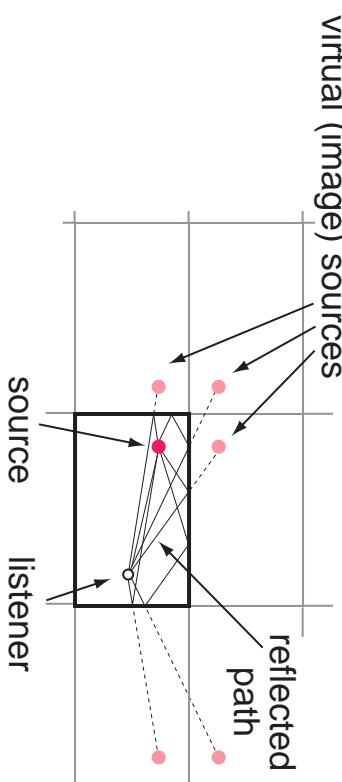
Classic stereo

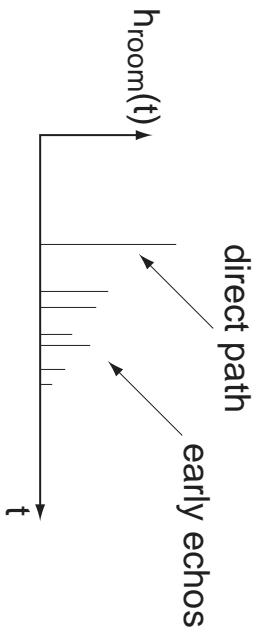


- ‘Intensity panning’: no timing modifications, just vary level ± 20 dB
 - works as long as listener is equidistant (ILD)
- **Surround sound:** extra channels in center, sides, ...
 - same basic effect - pan between pairs

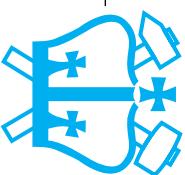


Simulating reverberation

- Can characterize reverb by impulse response
 - spatial cues are important - record in stereo
 - IRs of ~ 1 sec → **very** long convolution
- **Image model: reflections as duplicate sources**

The diagram illustrates the image model for reverberation. It shows a room boundary represented by a rectangle. Inside the room, there is a source at the bottom left and a listener at the bottom right. Several red dots represent virtual (image) sources located outside the room. Arrows show the direct path from the source to the listener, and other arrows show reflected paths from the virtual sources to the listener. Labels include "virtual (image) sources", "source", "listener", and "reflected path".
- **'Early echos' in room impulse response:**

The graph shows the room impulse response $h_{room}(t)$ on the vertical axis against time t on the horizontal axis. A large, sharp peak at $t=0$ is labeled "direct path". Numerous smaller peaks at later times are labeled "early echos".
- **Actual reflection may be $h_{reflect}(t)$, not $\delta(t)$**



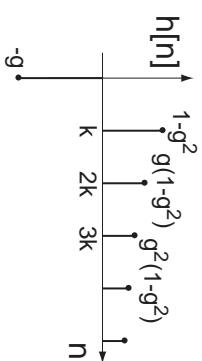
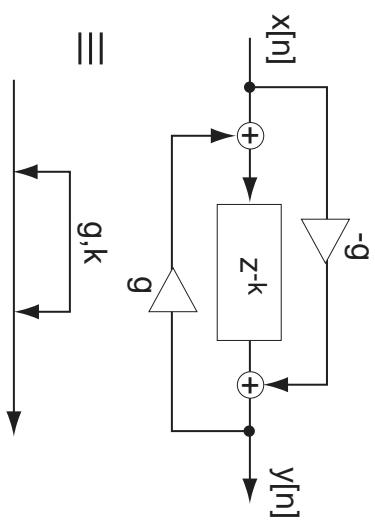
Artificial reverberation

- Reproduce perceptually salient aspects
 - early echo pattern (\rightarrow room size impression)
 - overall decay tail (\rightarrow wall materials...)
 - interaural coherence (\rightarrow spaciousness)

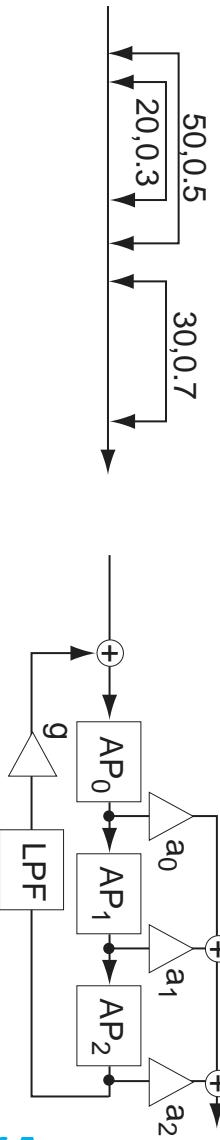
Nested allpass filters (Gardner '92)

Allpass

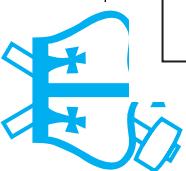
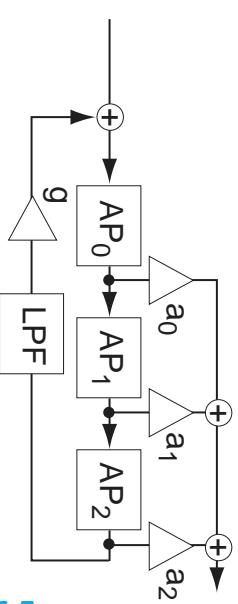
$$H(z) = \frac{z^{-k} - g}{1 - g \cdot z^{-k}}$$



Nested+Cascade Allpass

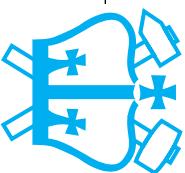


Synthetic Reverb



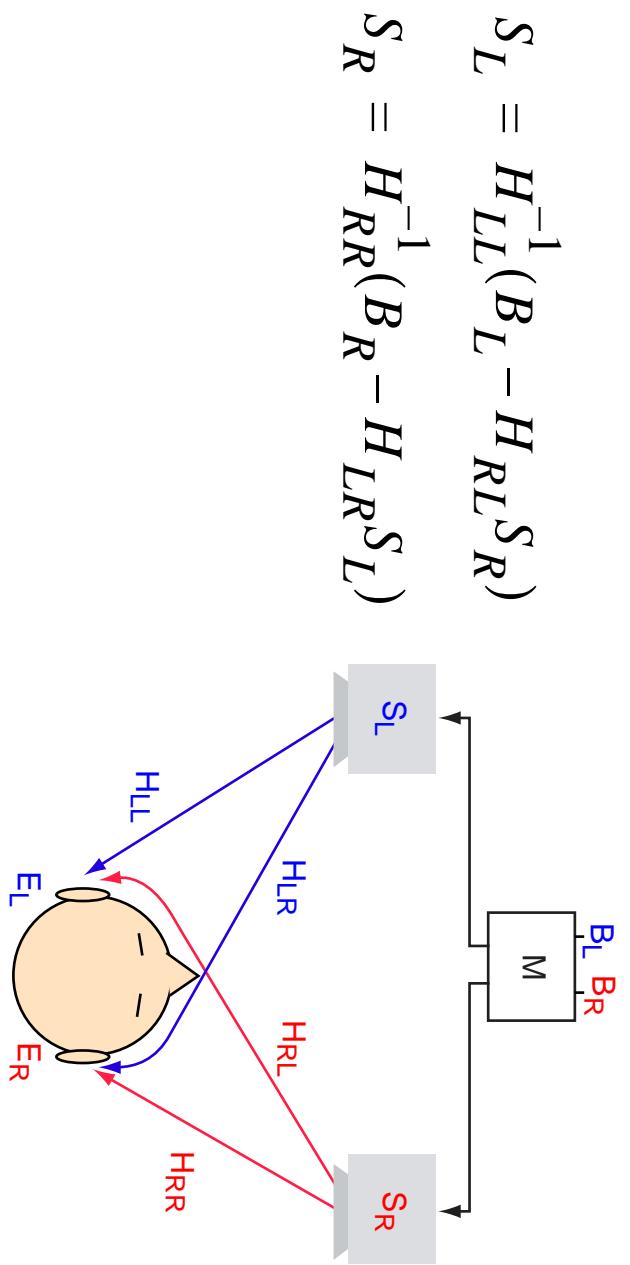
Synthetic binaural audio

- Source convolved with {L,R} HRTFs gives **precise positioning**
 - ...for headphone presentation
 - can combine multiple sources (by adding)
 - Where to get HRTFs?
 - **measured set**, but: specific to individual, discrete
 - interpolate by linear crossfade, PCA basis set
 - or: **parametric model** - delay, shadow, pinna
 - Head motion cues?
 - head tracking + fast updates
-
- (after Brown & Duda '97)

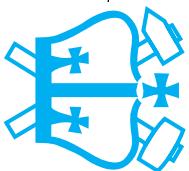


Transaural sound

- **Binaural signals without headphones?**
- **Can cross-cancel wrap-around signals**
 - speakers $S_{L,R}$, ears $E_{L,R}$, binaural signals $B_{L,R}$.

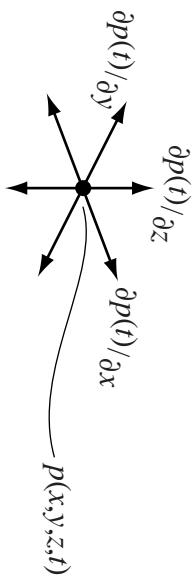


- **Narrow 'sweet spot'**
 - head motion?



Soundfield reconstruction

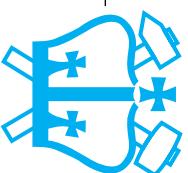
- **Stop thinking about ears**
just reconstruct pressure + spatial derivatives



- ears in reconstructed field receive same sounds

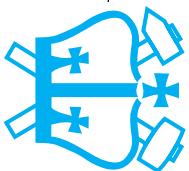
- **Complex reconstruction setup (ambisonics)**

- able to preserve **head motion cues?**



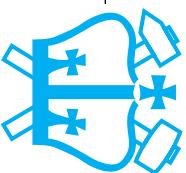
Outline

- 1 Spatial acoustics**
- 2 Binaural perception**
- 3 Synthesizing spatial audio**
- 4 Extracting spatial sounds**
 - Microphone arrays
 - Modeling binaural processing



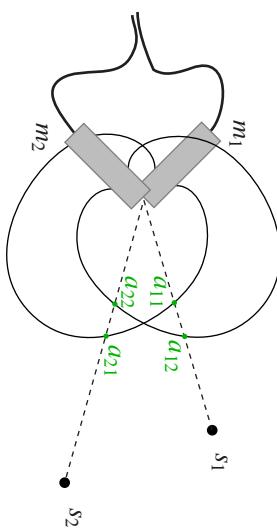
Extracting spatial sounds

- Given access to **soundfield**,
can we recover separate components?
 - degrees of freedom:
>N signals from N sensors is hard
 - but: people can do it (somewhat)
- **Information-theoretic approach**
 - use only very general constraints
 - rely on precision measurements
- **Anthropic approach**
 - examine human perception
 - attempt to use same information



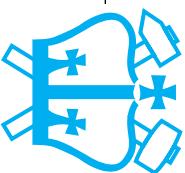
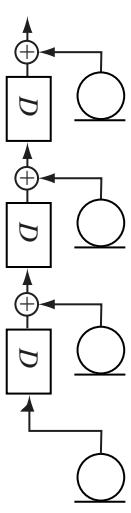
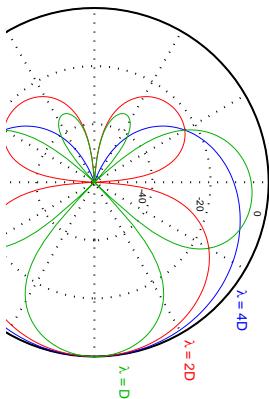
Microphone arrays

- Signals from multiple microphones can be combined to enhance/cancel certain sources
- 'Coincident' mics with diff. directional gains



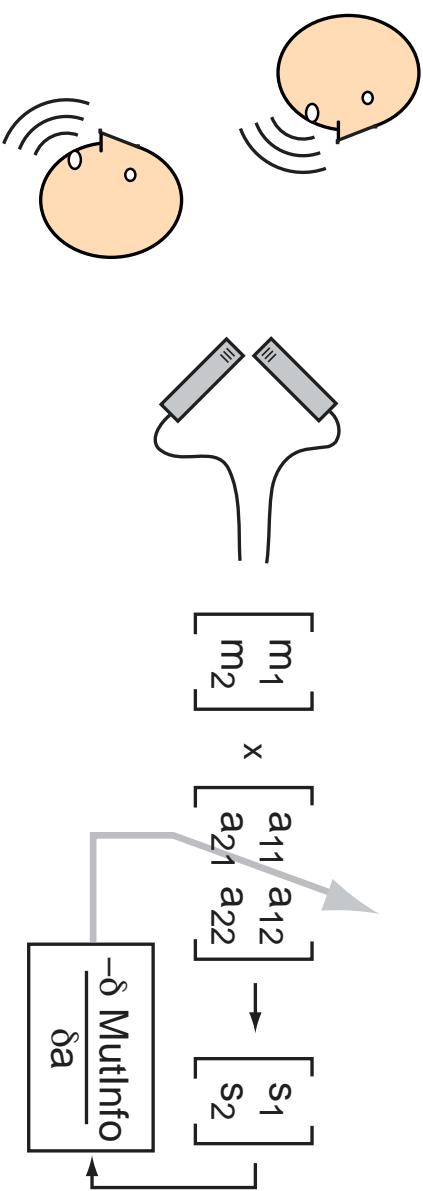
$$\begin{bmatrix} m_1 \\ m_2 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \cdot \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} \Rightarrow \begin{bmatrix} \hat{s}_1 \\ \hat{s}_2 \end{bmatrix} = A^{-1} \cdot m$$

- Microphone arrays (endfire)

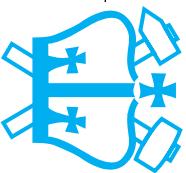


Adaptive Beamforming & Independent Component Analysis (ICA)

- Formulate mathematical criteria to optimize
- Beamforming: Drive interference to zero
 - cancel energy during nontarget intervals
- ICA: maximize mutual independence of outputs
 - from higher-order moments during overlap

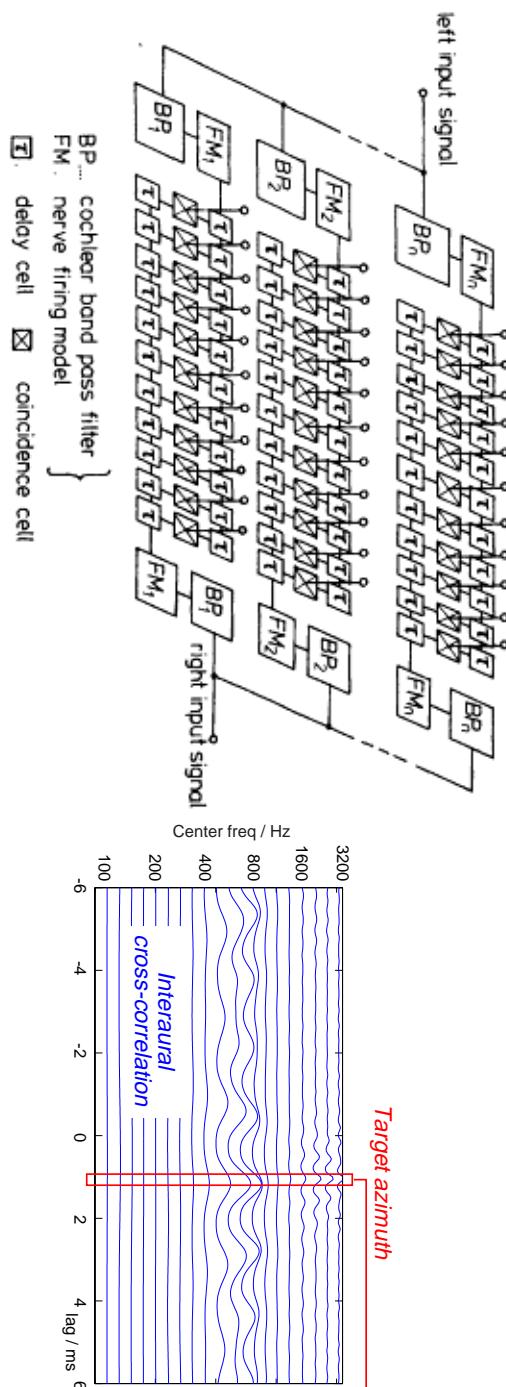


- Limited by separation model parameter space
 - only NxN?

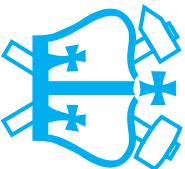


Binaural models

- Human listeners do better?
 - certainly given only 2 channels
- Extract ITD and IID cues?



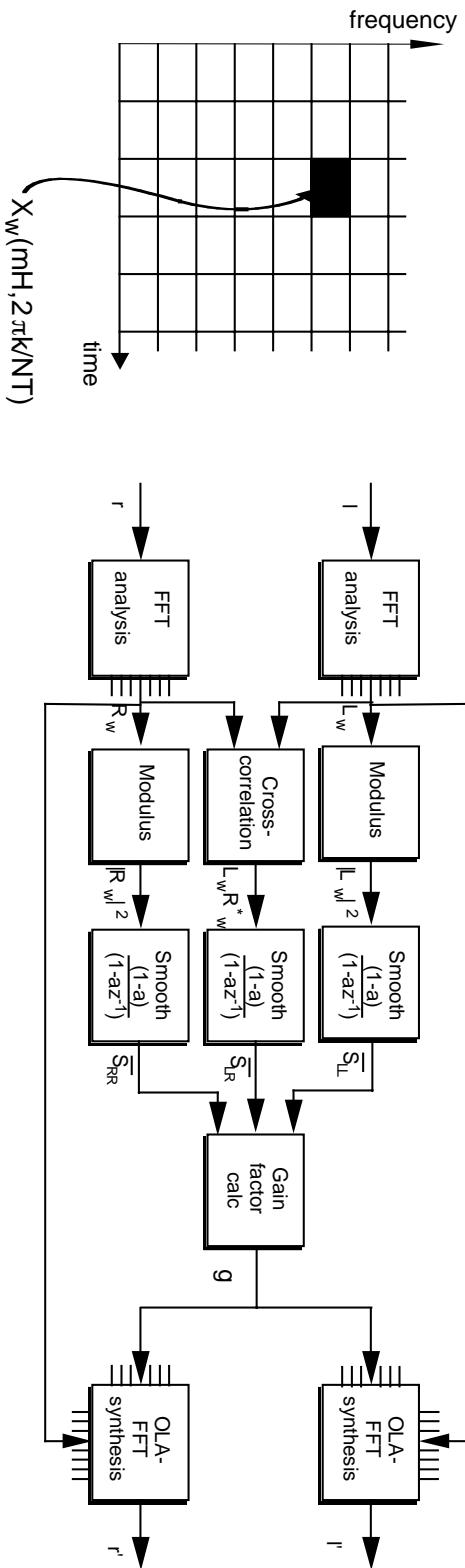
- cross-correlation finds timing differences
- 'consume' counter-moving pulses
- how to achieve IID, trading
 - vertical cues...



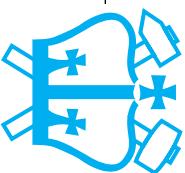
Nonlinear filtering

- How to separate sounds based on direction?
 - estimate direction locally
 - choose target direction
 - remove energy from other directions

- E.g. Kollmeier, Peissig & Hohman '93

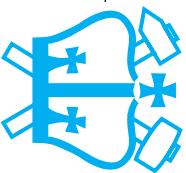


- IID from $|L_w|/|R_w|$; ITD (IPD) from $\arg\{L_w R_w^*\}$
- match to IID/IPD template for desired direction
- also reverberation?



Summary

- **Spatial sound**
 - sampling at more than one point gives information on origin direction
- **Binaural perception**
 - time & intensity cues used between/within ears
- **Sound rendering**
 - conventional stereo
 - HRTF-based
- **Spatial analysis**
 - optimal linear techniques
 - elusive auditory models



References

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