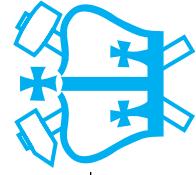


## Lecture 2: Acoustics

- 1 The wave equation**
- 2 Acoustic tubes: reflections & resonance**
- 3 Oscillations & musical acoustics**
- 4 Spherical waves & room acoustics**

Dan Ellis <[dpwe@ee.columbia.edu](mailto:dpwe@ee.columbia.edu)>  
<http://www.ee.columbia.edu/~dpwe/e6820/>

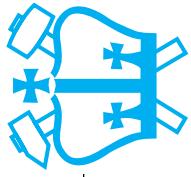
Columbia University Dept. of Electrical Engineering  
Spring 2006



# 1

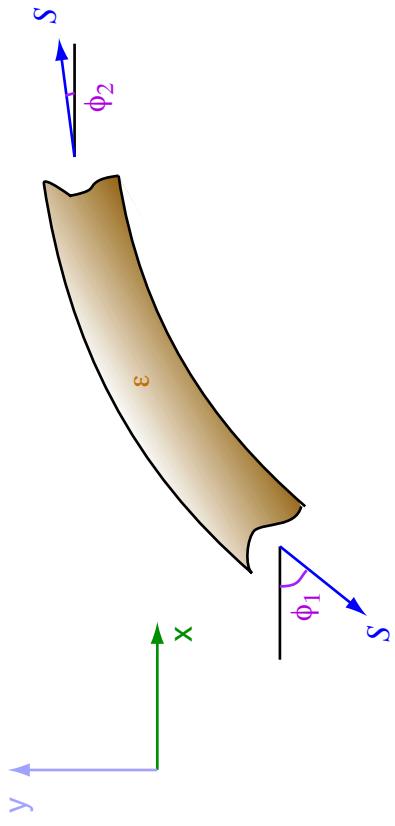
## Acoustics & sound

- Acoustics is the study of **physical waves**
- (Acoustic) waves transmit **energy without permanently displacing matter** (e.g. ocean waves)
- Same math recurs in many domains
- **Intuition:** pulse going down a rope



# The wave equation

- Consider a small section of the **rope**:

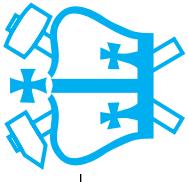


- displacement  $y(x)$ , tension  $S$ , mass  $\varepsilon \cdot dx$

→ lateral force is  $F_y = S \cdot \sin(\phi_2) - S \cdot \sin(\phi_1)$

$$\approx S \frac{\partial^2 y}{\partial x^2} d_x$$

...



## Wave equation (2)

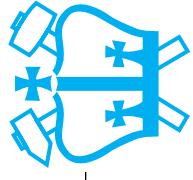
- Newton's law:  $F = ma$

$$S \cdot \frac{\partial^2 y}{\partial x^2} \cdot dx = \epsilon dx \cdot \frac{\partial^2 y}{\partial t^2}$$

- Call  $c^2 = S/\epsilon$  (tension to mass-per-length)  
hence:

$$\text{the Wave Equation: } c^2 \cdot \frac{\partial^2 y}{\partial x^2} = \frac{\partial^2 y}{\partial t^2}$$

.. partial DE relating curvature and acceleration



## Solution to the wave equation

- If  $y(x, t) = f(x - ct)$  (any  $f(\cdot)$ )

then

$$\frac{\partial y}{\partial x} = f'(x - ct) \quad \frac{\partial y}{\partial t} = -c \cdot f'(x - ct)$$

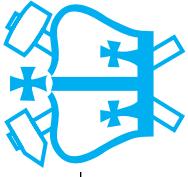
$$\frac{\partial^2 y}{\partial x^2} = f''(x - ct) \quad \frac{\partial^2 y}{\partial t^2} = c^2 \cdot f''(x - ct)$$

also works for  $y(x, t) = f(x + ct)$

Hence, general solution:

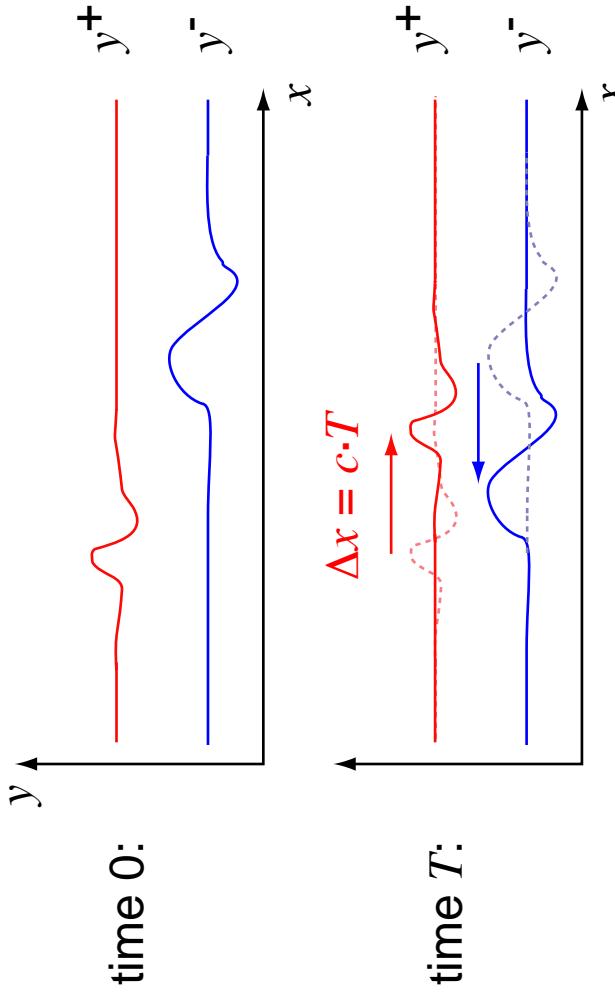
$$c^2 \cdot \frac{\partial^2 y}{\partial x^2} = \frac{\partial^2 y}{\partial t^2}$$

$$\Rightarrow y(x, t) = y^+(x - ct) + y^-(x + ct)$$

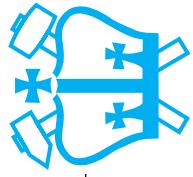


## Solution to the wave equation (2)

- $y^+(x - ct)$  and  $y^-(x + ct)$  are **travelling waves**
- **shape stays constant but changes position:**

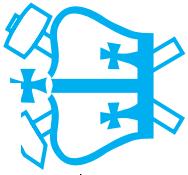
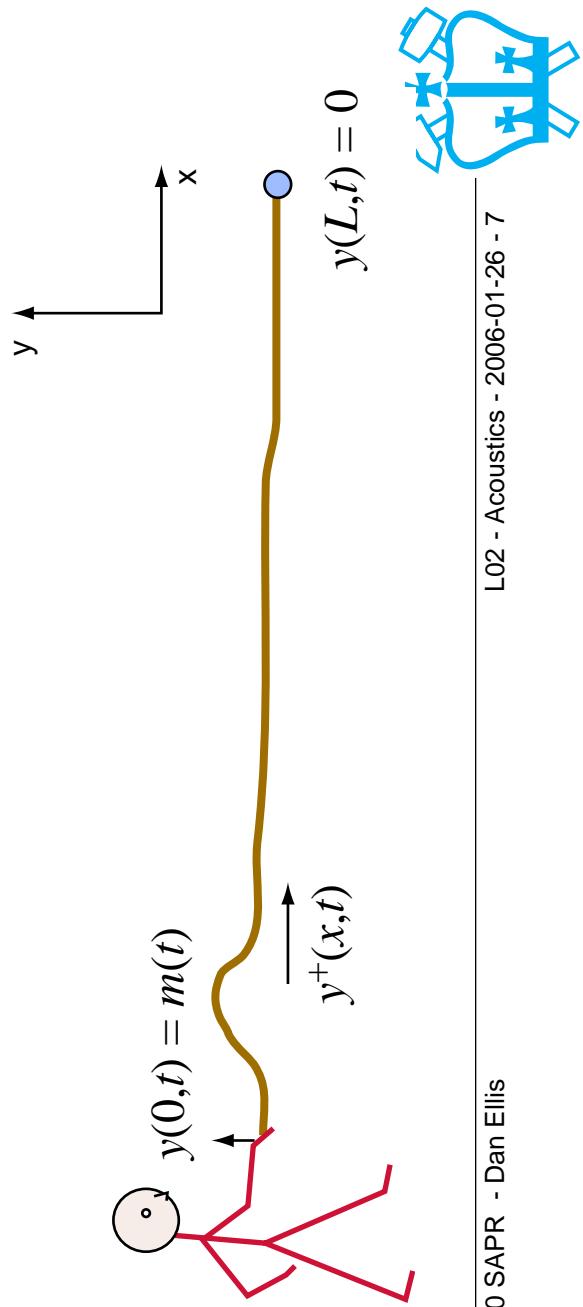


- **$c$**  is **travelling wave velocity** ( $\Delta x / \Delta t$ )
- $y^+$  moves right,  $y^-$  moves left
- **resultant**  $y(x)$  is **sum of the two waves**



## Wave equation solutions (3)

- What is the **form** of  $y^+, y^-$ ?
  - any doubly-differentiable function will satisfy wave equation
- Actual waveshapes dictated by **boundary conditions**
  - e.g.  $y(x)$  at  $t = 0$
  - plus constraints on  $y$  at particular  $x$ 's
    - e.g. input motion  $y(0, t) = m(t)$
    - rigid termination  $y(L, t) = 0$



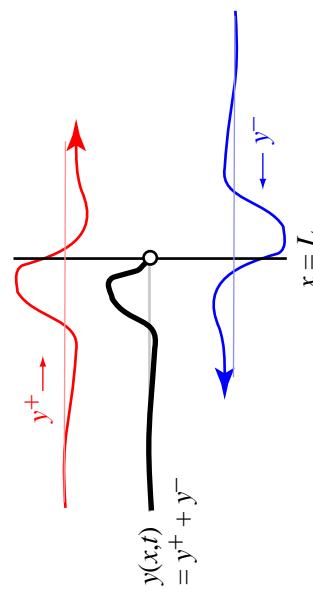
# Terminations and reflections

- **System constraints:**
  - initial  $y(x, 0) = 0$  (flat rope)
  - input  $y(0, t) = m(t)$  (at agent's hand) ( $\rightarrow y^+$ )
  - termination  $y(L, t) = 0$  (fixed end)
  - wave equation  $y(x, t) = y^+(x - ct) + y^-(x + ct)$
- **At termination:**

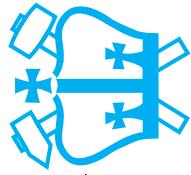
$$y(L, t) = 0 \rightarrow y^+(L - ct) = -y^-(L + ct)$$

i.e.  $y^+$  and  $y^-$  are mirrored in **time** and **amplitude** around  $x = L$

→ inverted reflection at termination



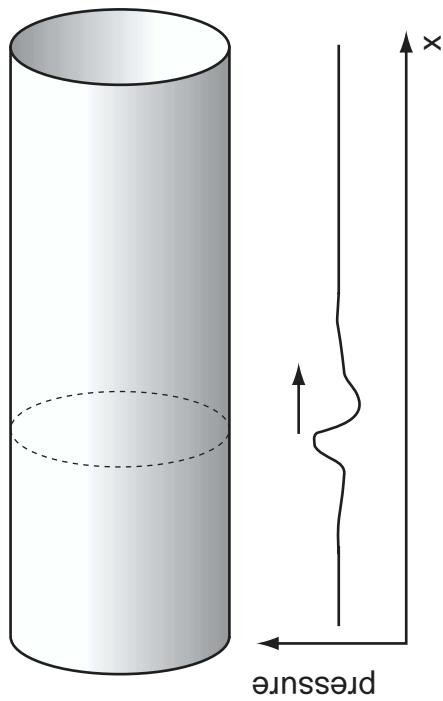
simulation  
[travel1.m]



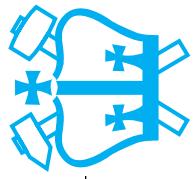
# 2

## Acoustic tubes

- Sound waves travel down **acoustic tubes**:

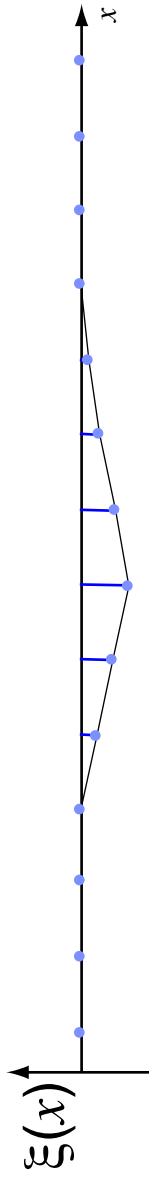
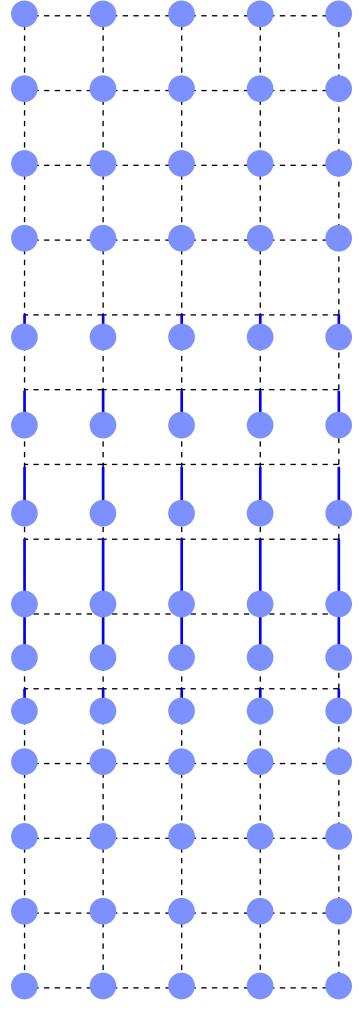


- 1-dimensional; very similar to strings
- **Common situation:**
  - wind instrument bores
  - ear canal
  - vocal tract



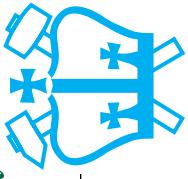
# Pressure and velocity

- Consider air particle displacement  $\xi(x, t)$ :



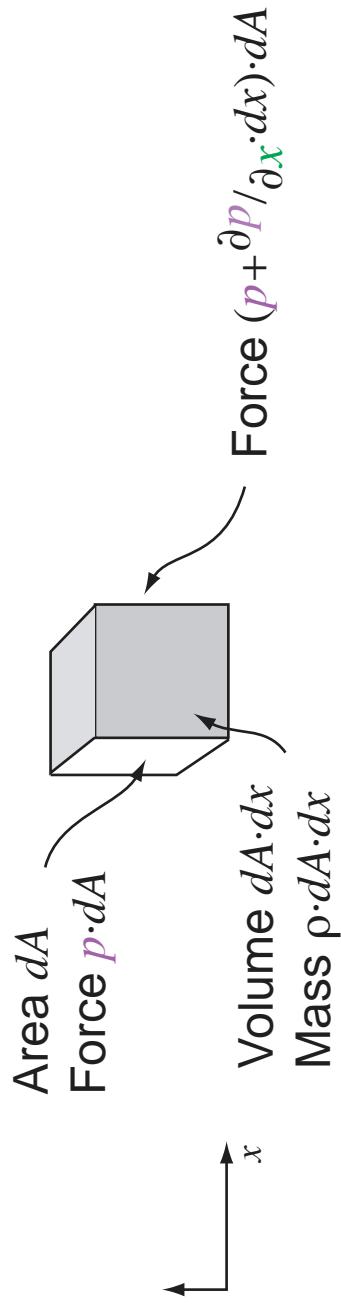
- Particle velocity  $v(x, t) = \frac{\partial \xi}{\partial t}$   
hence volume velocity  $u(x, t) = A \cdot v(x, t)$

- (Relative) air pressure  $p(x, t) = -\frac{1}{\kappa} \cdot \frac{\partial \xi}{\partial x}$



## Wave equation for a tube

- Consider elemental volume:

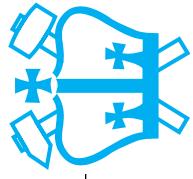


- Newton's law:  $F = ma$

$$-\frac{\partial p}{\partial x} \cdot dx \cdot dA = \rho dA dx \cdot \frac{\partial v}{\partial t}$$

$$\Rightarrow \frac{\partial p}{\partial x} = -\rho \frac{\partial v}{\partial t}$$

$$\bullet \quad \text{Hence } c^2 \cdot \frac{\partial^2 \xi}{\partial x^2} = \frac{\partial^2 \xi}{\partial t^2} \quad c = \frac{1}{\sqrt{\rho k}}$$



## Acoustic tube travelling waves

- **Traveling waves** in particle displacement:

$$\xi(x, t) = \xi^+(x - ct) + \xi^-(x + ct)$$

- Call  $u^+(\alpha) = -cA \frac{\partial}{\partial \alpha} \xi^+(\alpha)$

$$Z_0 = \frac{\rho c}{A}$$

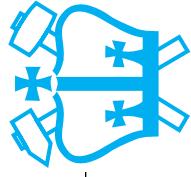
- Then **volume velocity**:

$$\textcolor{brown}{u}(x, t) = A \cdot \frac{\partial \xi}{\partial \textcolor{red}{t}} = u^+(x - ct) - u^-(x + ct)$$

- And **pressure**:

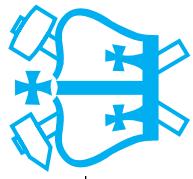
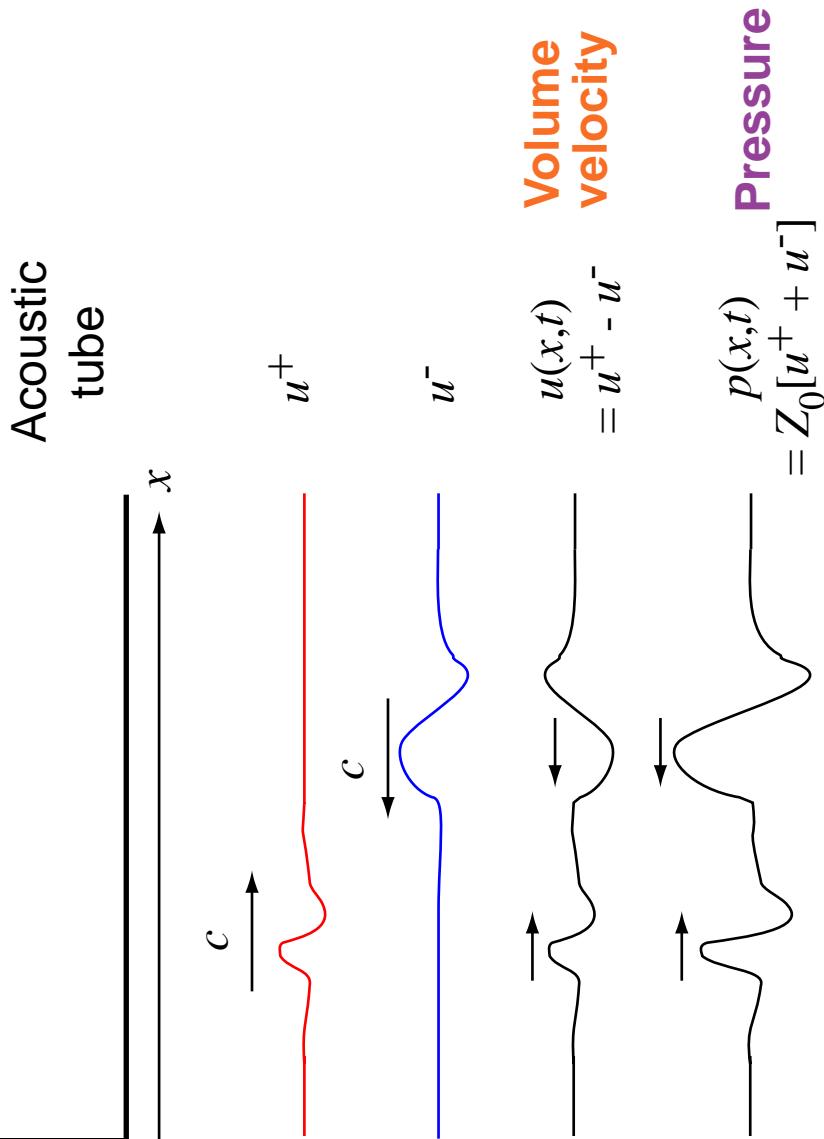
$$\textcolor{violet}{p}(x, t) = -\frac{1}{\kappa} \cdot \frac{\partial \xi}{\partial \textcolor{green}{x}} = Z_0 \cdot [u^+(x - ct) + u^-(x + ct)]$$

- **(Scaled) sum & diff. of traveling waves**



## Acoustic tube traveling waves (2)

- Different resultants for **pressure** and **volume velocity**:

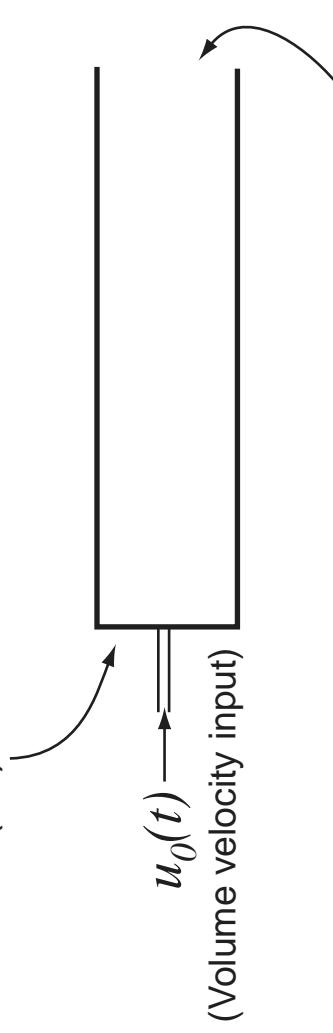


## Terminations in tubes

- Equivalent of **fixed point** for tubes?

Solid wall forces

$$u(x, t) = 0 \quad \text{hence } u^+ = u^-$$

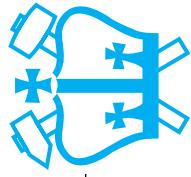


Open end forces

$$p(x, t) = 0$$

$$\text{hence } u^+ = -u^-$$

- **Open end** is like **fixed point** for rope:  
reflects wave back **inverted**
- **Unlike fixed point, solid wall reflects traveling wave without inversion**



# Standing waves

- Consider (complex) **sinusoidal** input:

$$u_0(t) = U_0 \cdot e^{j\omega t}$$

- Pressure/volume **must** have form  $Ke^{j(\omega t + \phi)}$

- Hence traveling waves:

$$u^+(x - ct) = |A|e^{j(-kx + \omega t + \phi_A)}$$

$$u^-(x + ct) = |B|e^{j(kx + \omega t + \phi_B)}$$

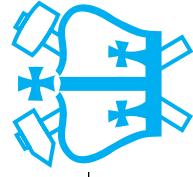
where  $k = \omega/c$  (**spatial frequency, rad/m**)

$$(\text{wavelength } \lambda = c/f = 2\pi c/\omega)$$

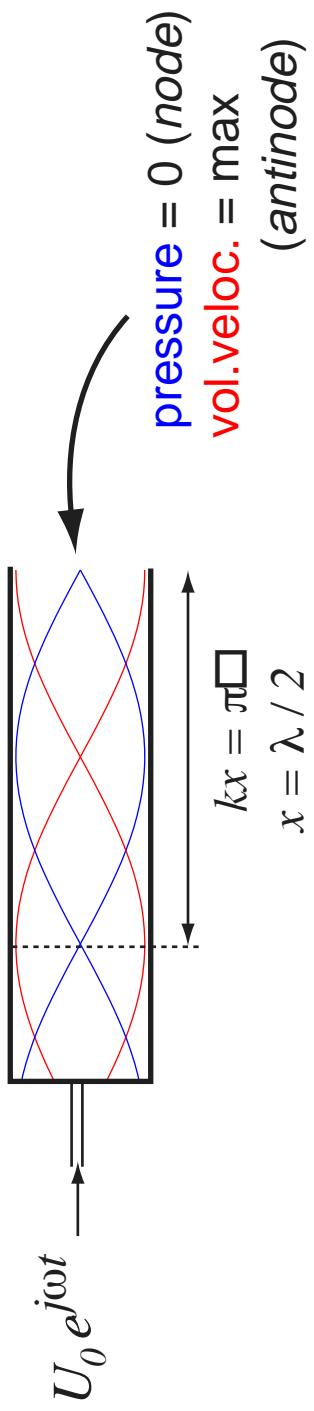
- Pressure, vol. veloc. resultants show stationary pattern: **standing waves**

- even when  $|A| \neq |B|$

→**simulation [sintwavemov.m]**

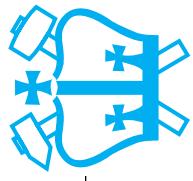


## Standing waves (2)



- For **lossless** termination ( $|u^+| = |u^-|$ ),  
have **true nodes & antinodes**
- Pressure and vol. veloc. are **phase shifted**
  - in space and in time

\*



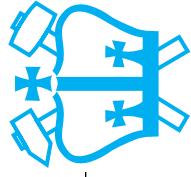
# Transfer function

- Consider tube excited by  $u_0(t) = U_0 \cdot e^{j\omega t}$ :

- sinusoidal traveling waves must satisfy termination '**boundary conditions**'
- satisfied by complex constants **A** and **B** in

$$\begin{aligned} u(x, t) &= u^+(x - ct) + u^-(x + ct) \\ &= Ae^{j(-kx + \omega t)} + Be^{j(kx + \omega t)} \\ &= e^{j\omega t} \cdot (Ae^{-jkx} + Be^{jkx}) \end{aligned}$$

- standing wave pattern will **scale** with input magnitude
- point of excitation makes a big difference...



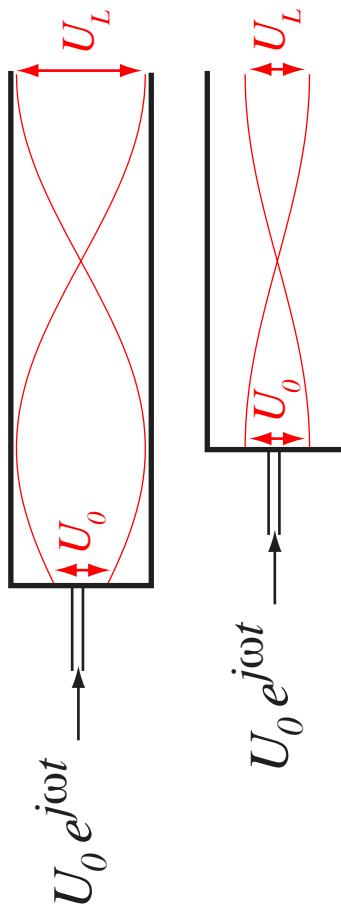
## Transfer function (2)

- For open-ended tube of length  $L$  excited at  $x = 0$  by  $U_0 e^{j\omega t}$ :

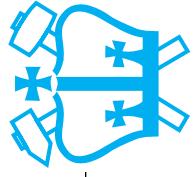
$$u(x, t) = U_0 e^{j\omega t} \cdot \frac{\cos k(L-x)}{\cos kL} \quad (k = \frac{\omega}{c})$$

(matches at  $x = 0$ , maximum at  $x = L$ )

- i.e. **standing wave pattern**  
e.g. varying  $L$  for a given  $\omega$  (and hence  $k$ ):



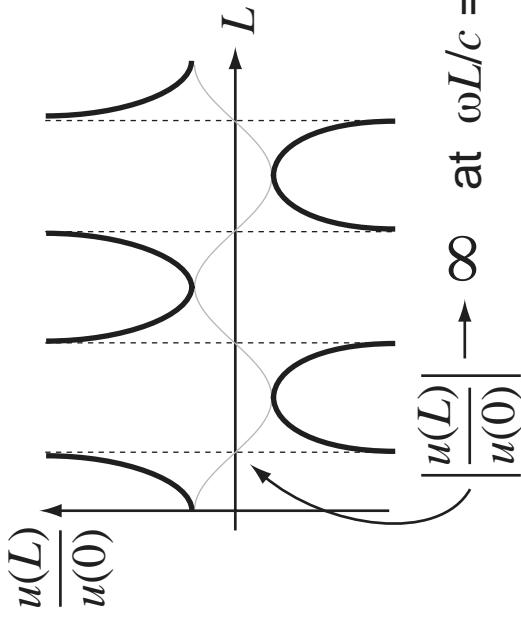
magnitude of  $U_L$  depends on  $L$  (and  $\omega$ )...



## Transfer function (3)

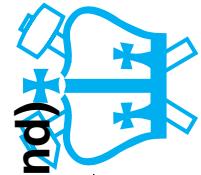
- Varying  $\omega$  for a given  $L$ , i.e. at  $x = L$ :

$$\frac{U_L}{U_0} = \frac{u(L, t)}{u(0, t)} = \frac{1}{\cos kL} = \frac{1}{\cos(\omega L/c)}$$



- Output vol. veloc. always larger than input

- Unbounded for  $L = (2r+1)\frac{\pi c}{2\omega} = (2r+1)\frac{\lambda}{4}$



i.e. **resonance** (amplitude grows w/o bound)

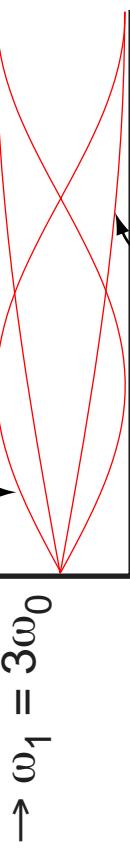
# Resonant modes

- For lossless tube  
with  $L = m \cdot \frac{\lambda}{4}$ ,  $m$  odd,  $\lambda$  wavelength,

$\left| \frac{u(L)}{u(0)} \right|$  is **unbounded**, meaning:

- transfer function has pole on frequency axis
- energy at that frequency sustains indefinitely

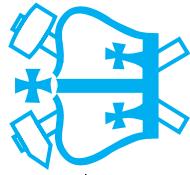
$$L = 3 \cdot \lambda_{1/4}$$



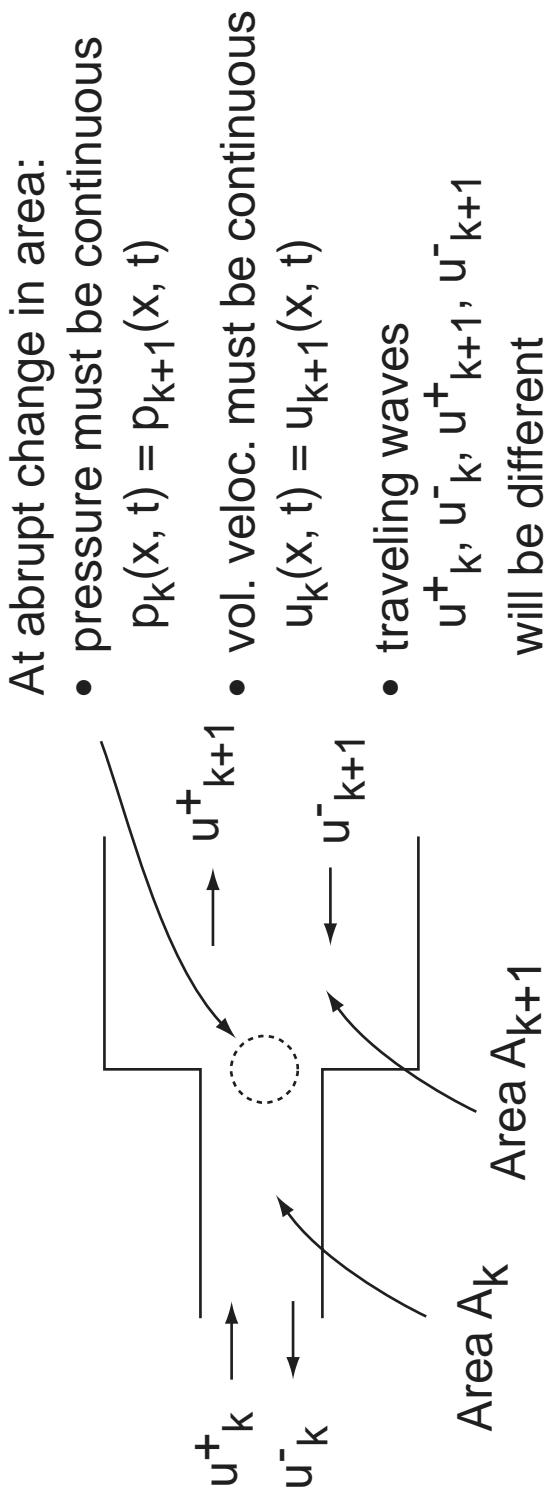
$$L = \lambda_0/4$$

- compare to time domain...

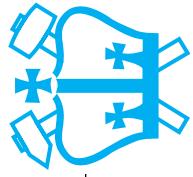
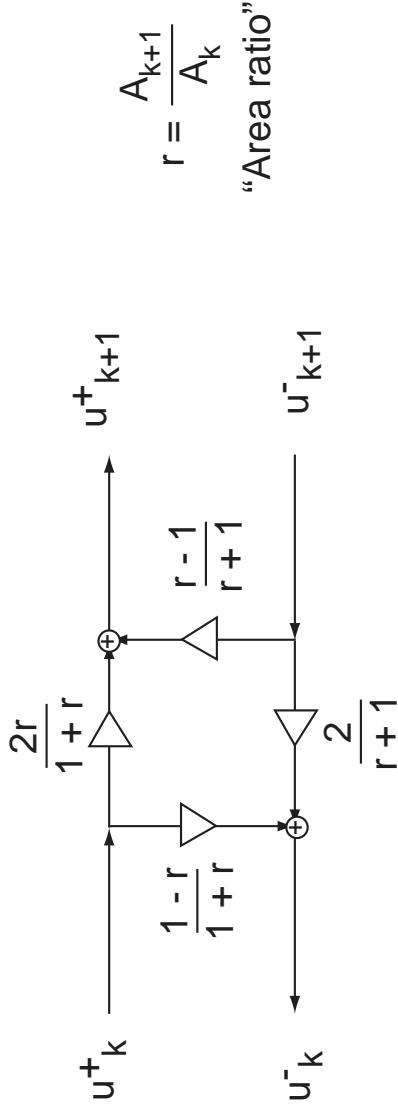
- e.g 17.5 cm vocal tract,  $c = 350$  m/s  
 $\rightarrow \omega_0 = 2\pi \cdot 500$  Hz (then 1500, 2500 ...)



## Scattering junctions

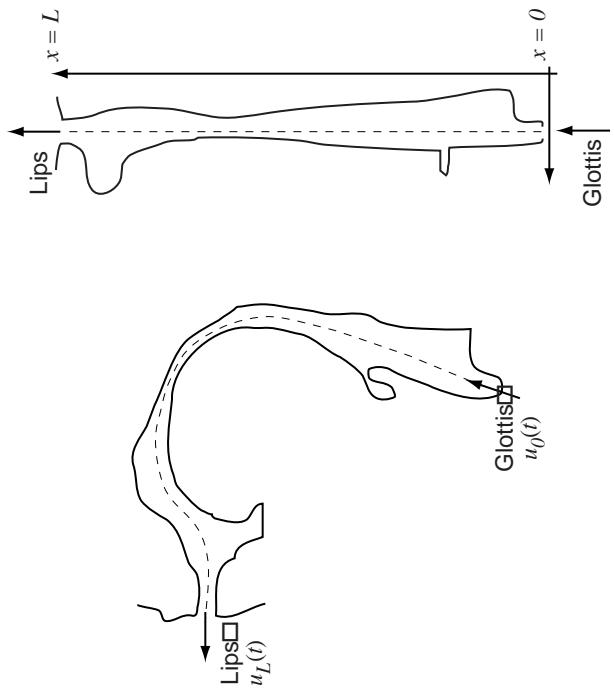


- Solve e.g. for  $u^-_k$  and  $u^+_{k+1}$ : (generalized term.)

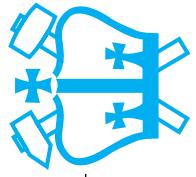
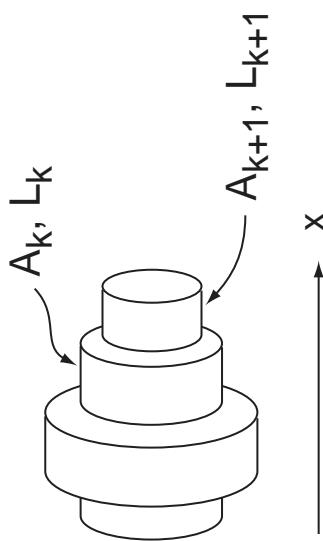


# Concatenated tube model

- Vocal tract acts as a waveguide

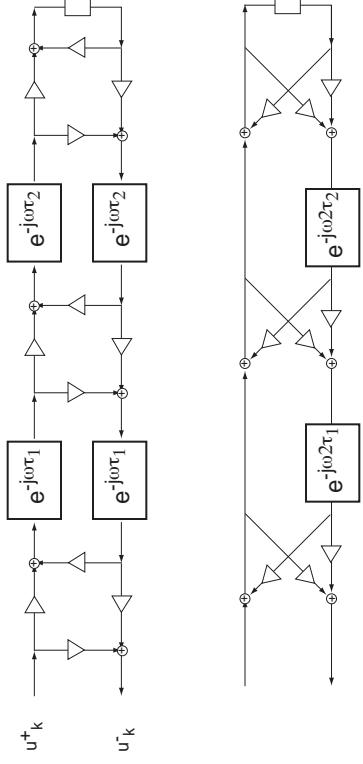


- Discrete approx. as varying-diameter tube:

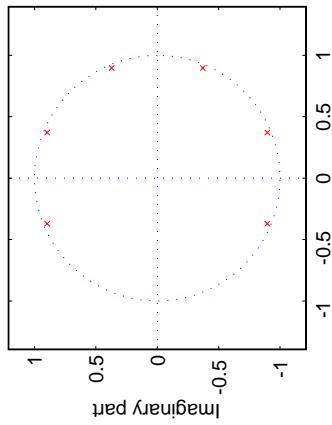


# Concatenated tube resonances

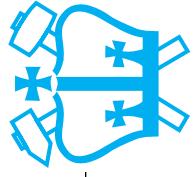
- Concatenated tubes → scattering junctions  
→ lattice filter



- Can solve for transfer function - all-pole



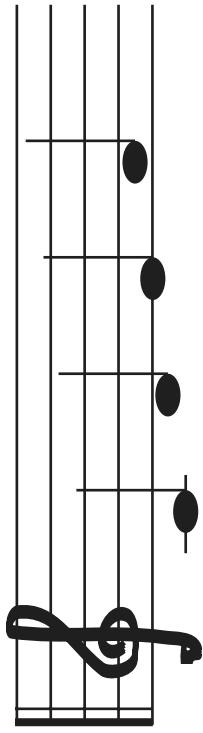
- Approximate vowel synthesis from resonances  
sound example: ah ee oo



## 3

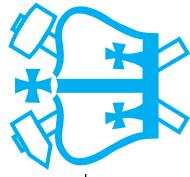
# Oscillations & musical acoustics

- Pitch (periodicity) is essence of music:



- why? why music?

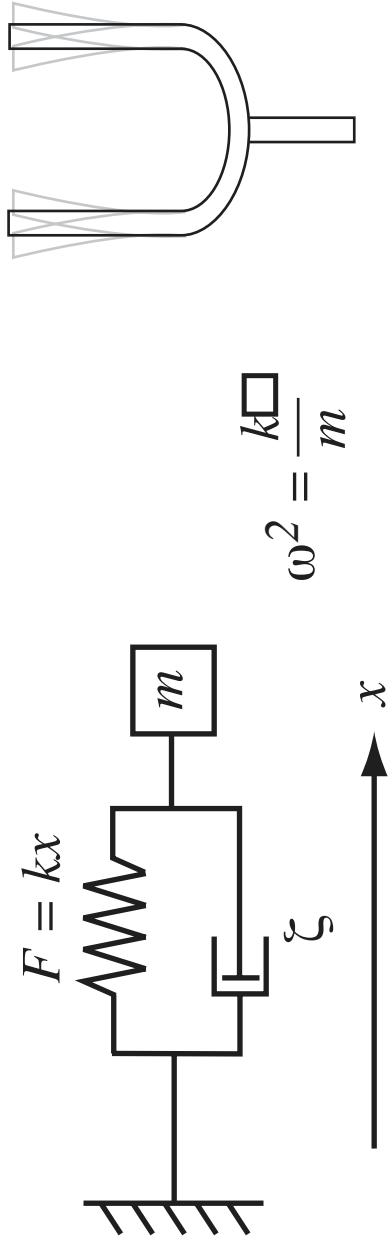
- Different kinds of oscillators:
  - simple harmonic motion (tuning fork)
  - relaxation oscillator (voice)
  - string traveling wave (plucked/struck/bowed)
  - air column (nonlinear energy element)



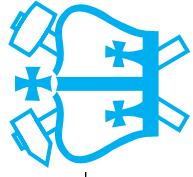
# Simple harmonic motion

- Basic mechanical oscillation:  
 $\ddot{x} = -\omega^2 x \quad x = A \cos(\omega t + \phi)$

- Spring + mass ( + damper)



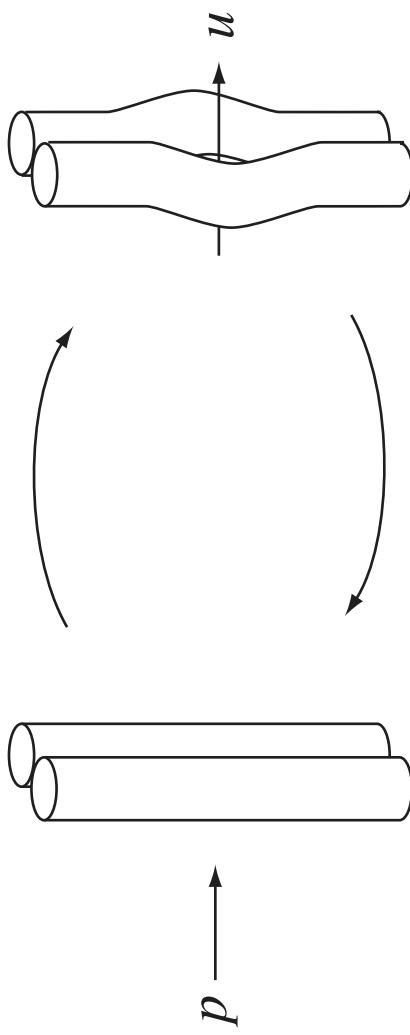
- e.g. tuning fork
- Not great for music:
  - fundamental ( $\cos\omega t$ ) only
  - relatively low energy



# Relaxation oscillator

- **Multi-state process:**
  - one state builds up potential (e.g. pressure)
  - switch to second (release) state
  - revert to first state etc.

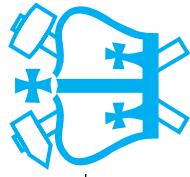
- **e.g. vocal folds:**



( <http://www.medicine.uiowa.edu/otolaryngology/cases/normal2.htm> )

- **Oscillation period depends on force (tension)**

- easy to change
  - hard to keep stable
- less used in music

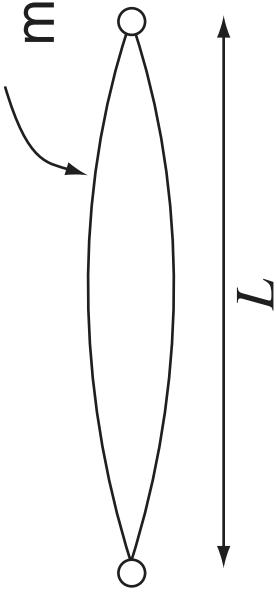


# Ringing string

- e.g. our original '**rope**' example

tension  $S \square$

mass/length  $\varepsilon$



$$\omega^2 = \frac{\pi^2 S \square}{L^2 \varepsilon}$$

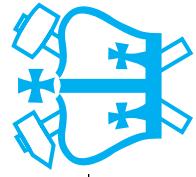
- **Many musical instruments**

- guitar (plucked)
- piano (struck)
- violin (bowed)

- **Control period (pitch):**

- change length (fretting)
- change tension (tuning piano)
- change mass (piano strings)

- **Influence of excitation ... [pluck1 a.m]**



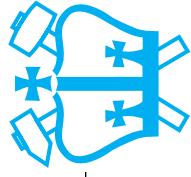
# Wind tube

- **Resonant tube + energy input**



$$\omega = \frac{\pi c \square}{2 L} \text{ (quarter wavelength)}$$

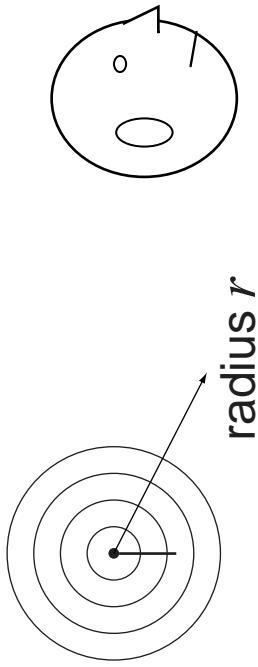
- **e.g. clarinet**
  - lip pressure keeps reed closed
  - reflected pressure wave opens reed
  - reinforced pressure wave passes through finger holes
- **Finger holes determine first reflection**  
→ **effective waveguide length**



# 4

## Room acoustics

- Sound in free air expands **spherically**:

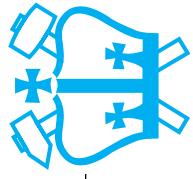


- **Spherical wave equation:**

$$\frac{\partial^2 p}{\partial r^2} + \frac{2}{r} \cdot \frac{\partial p}{\partial r} = \frac{1}{c^2} \cdot \frac{\partial^2 p}{\partial t^2}$$

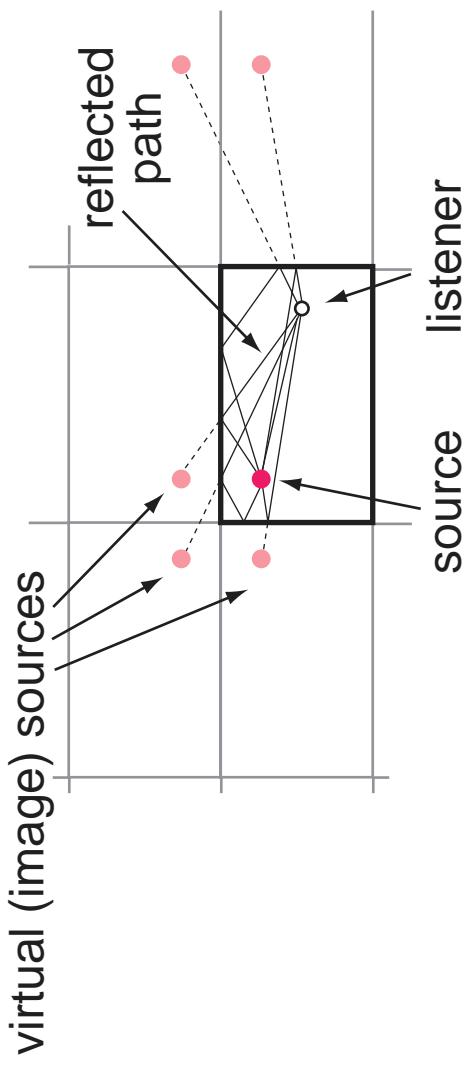
solved by  $p(r, t) = \frac{P_0}{r} \cdot e^{j(\omega t - kr)}$

- Intensity  $\propto p^2$  falls as  $\frac{1}{r^2}$

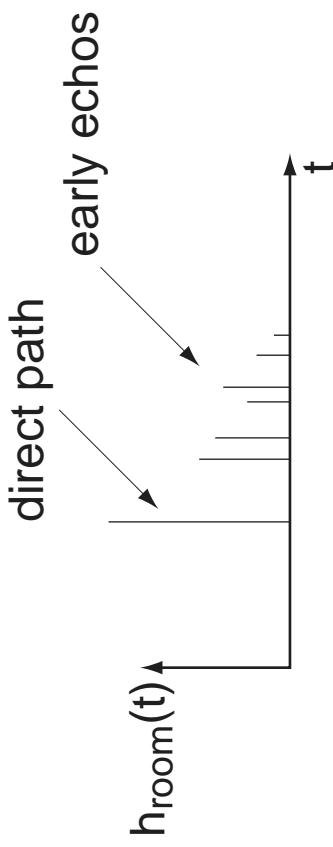


## Effect of rooms (1): Images

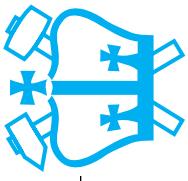
- Ideal reflections are like multiple sources:



- 'Early echoes' in room impulse response:

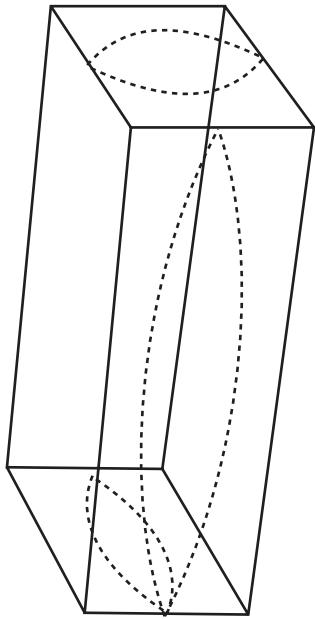


- actual reflections may be  $h_r(t)$ , not  $\delta(t)$

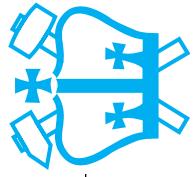


## Effect of rooms (2): modes

- Regularly-spaced echoes behave like **acoustic tubes**:

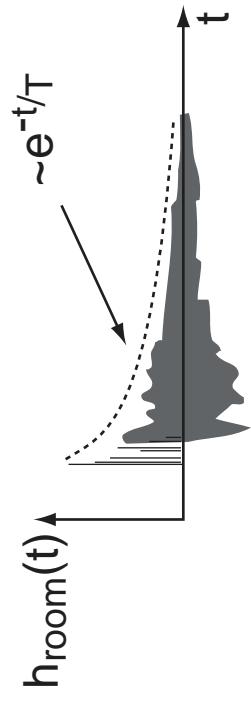


- Real rooms have **lots of modes!**
  - dense, sustained echoes in impulse response
  - complex pattern of peaks in frequency response



# Reverberation

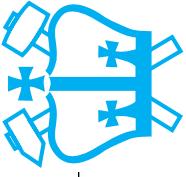
- **Exponential decay of reflections:**



- **Frequency-dependent**
  - greater absorption at high frequencies  
→ faster decay
- **Size-dependent**
  - larger rooms → longer delays → slower decay
- **Sabine's equation:**

$$RT_{60} = \frac{0.049V}{S\bar{\alpha}}$$

- **Time constant varies with size, absorption**



# Summary

- Travelling waves
- Acoustic tubes & resonance
- Musical acoustics & periodicity
- Room acoustics & reverberation

## Parting Thought:

- Musical bottles

