

Lecture 10

Discriminative Training, ROVER, and Consensus

Michael Picheny, Bhuvana Ramabhadran, Stanley F. Chen

IBM T.J. Watson Research Center
Yorktown Heights, New York, USA
{picheny, bhuvana, stanchen}@us.ibm.com

10 December 2012

General Motivation

- The primary goal for a speech recognition system is to accurately recognize the words.
- The modeling and adaptation techniques we have studied till now implicitly address this goal.
- Today we will focus on techniques explicitly targeted to improving accuracy.

2 / 86

Where Are We?

- 1 Linear Discriminant Analysis
- 2 Maximum Mutual Information Estimation
- 3 ROVER
- 4 Consensus Decoding

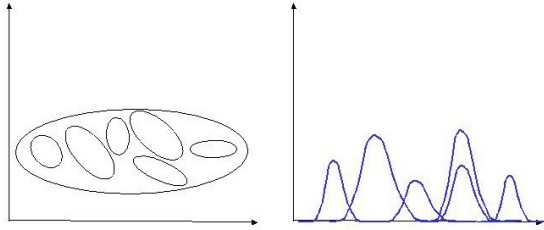
Where Are We?

- 1 Linear Discriminant Analysis
 - LDA - Motivation
 - Eigenvectors and Eigenvalues
 - PCA - Derivation
 - LDA - Derivation
 - Applying LDA to Speech Recognition

Linear Discriminant Analysis - Motivation

In a typical HMM using Gaussian Mixture Models we assume diagonal covariances.

This assumes that the classes to be discriminated between lie along the coordinate axes:

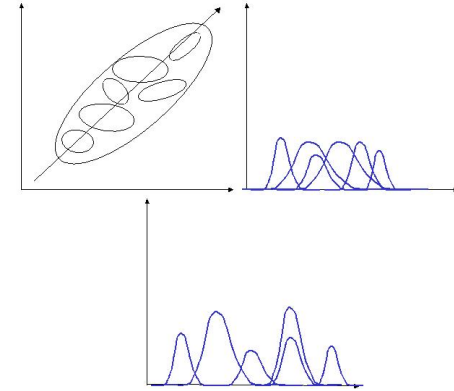


What if that is NOT the case?

5/86

Principle Component Analysis-Motivation

We are in trouble.

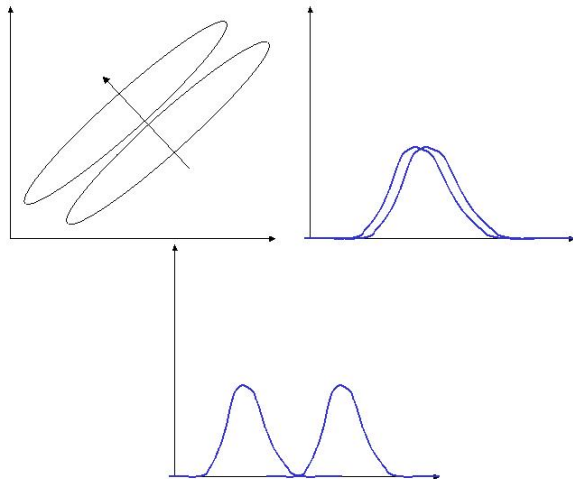


First, we can try to rotate the coordinate axes to better lie along the main sources of variation.

6/86

Linear Discriminant Analysis - Motivation

If the main sources of class variation do NOT lie along the main source of variation we need to find the best directions:



7/86

Linear Discriminant Analysis - Computation

How do we find the best directions?

8/86

Where Are We?

Linear Discriminant Analysis

- LDA - Motivation
- **Eigenvectors and Eigenvalues**
- PCA - Derivation
- LDA - Derivation
- Applying LDA to Speech Recognition

9/86

Eigenvectors and Eigenvalues - continued

To solve this equation, we can rewrite it as

$$(\mathbf{A} - \lambda\mathbf{I})\mathbf{x} = 0$$

If \mathbf{x} is non-zero, the only way this equation can be solved is if the determinant of the matrix $(\mathbf{A} - \lambda\mathbf{I})$ is zero.

The determinant of this matrix is a polynomial (called the *characteristic polynomial*) $p(\lambda)$.

The roots of this polynomial will be the eigenvalues of \mathbf{A} .

11/86

Eigenvectors and Eigenvalues

A key concept in finding good directions are the eigenvalues and eigenvectors of a matrix.

The eigenvalues and eigenvectors of a matrix are defined by the following matrix equation:

$$\mathbf{Ax} = \lambda\mathbf{x}$$

For a given matrix \mathbf{A} the eigenvectors are defined as those vectors \mathbf{x} for which the above statement is true. Each eigenvector has an associated eigenvalue, λ .

10/86

Eigenvectors and Eigenvalues - continued

For example, let us say

$$\mathbf{A} = \begin{bmatrix} 2 & -4 \\ -1 & -1 \end{bmatrix}.$$

In such a case,

$$\begin{aligned} p(\lambda) &= \begin{vmatrix} 2 - \lambda & -4 \\ -1 & -1 - \lambda \end{vmatrix} \\ &= (2 - \lambda)(-1 - \lambda) - (-4)(-1) \\ &= \lambda^2 - \lambda - 6 \\ &= (\lambda - 3)(\lambda + 2) \end{aligned}$$

Therefore, $\lambda_1 = 3$ and $\lambda_2 = -2$ are the eigenvalues of \mathbf{A} .

12/86

Eigenvectors and Eigenvalues - continued

To find the eigenvectors, we simply plug in the eigenvalues into $(\mathbf{A} - \lambda\mathbf{I})\mathbf{x} = 0$ and solve for \mathbf{x} . For example, for $\lambda_1 = 3$ we get

$$\begin{bmatrix} 2-3 & -4 \\ -1 & -1-3 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

Solving this, we find that $x_1 = -4x_2$, so all the eigenvector corresponding to $\lambda_1 = 3$ is a multiple of $[-4 \ 1]^T$.

Similarly, we find that the eigenvector corresponding to $\lambda_1 = -2$ is a multiple of $[1 \ 1]^T$.

13/86

Where Are We?

1 Linear Discriminant Analysis

- LDA - Motivation
- Eigenvectors and Eigenvalues
- PCA - Derivation
- LDA - Derivation
- Applying LDA to Speech Recognition

14/86

Principal Component Analysis-Derivation

PCA assumes that the directions with "maximum" variance are the "best" directions for discrimination. Do you agree?

Problem 1: First consider the problem of "best" representing a set of vectors $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n$ by a single vector \mathbf{x}_0 .

Find \mathbf{x}_0 that minimizes the sum of the squared distances from the overall set of vectors.

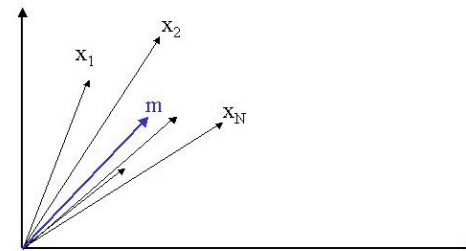
$$J_0(\mathbf{x}_0) = \sum_{k=1}^N |\mathbf{x}_k - \mathbf{x}_0|^2$$

15/86

Principal Component Analysis-Derivation

It is easy to show that the sample mean, \mathbf{m} , minimizes J_0 , where \mathbf{m} is given by

$$\mathbf{m} = \mathbf{x}_0 = \frac{1}{N} \sum_{k=1}^N \mathbf{x}_k$$



16/86

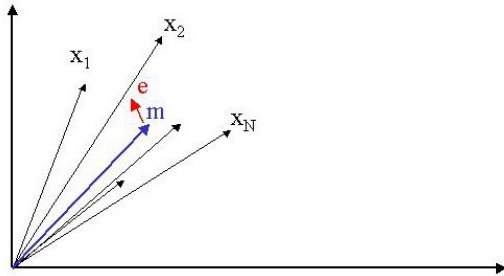
Principal Component Analysis-Derivation

Problem 2: Given we have the mean \mathbf{m} , how do we find the next single direction that best explains the variation between vectors?

Let \mathbf{e} be a unit vector in this "best" direction.

In such a case, we can express a vector \mathbf{x} as

$$\mathbf{x} = \mathbf{m} + a\mathbf{e}$$



17/86

Principal Component Analysis-Derivation

For the vectors \mathbf{x}_k we can find a set of a_k s that minimizes the mean square error:

$$J_1(a_1, a_2, \dots, a_N, \mathbf{e}) = \sum_{k=1}^N |\mathbf{x}_k - (\mathbf{m} + a_k \mathbf{e})|^2$$

If we differentiate the above with respect to a_k we get

$$a_k = \mathbf{e}^T (\mathbf{x}_k - \mathbf{m})$$

18/86

Principal Component Analysis-Derivation

How do we find the best direction \mathbf{e} ? If we substitute the above solution for a_k into the formula for the overall mean square error we get after some manipulation:

$$J_1(\mathbf{e}) = -\mathbf{e}^T \mathbf{S} \mathbf{e} + \sum_{k=1}^N |\mathbf{x}_k - \mathbf{m}|^2$$

where \mathbf{S} is called the *Scatter* matrix and is given by:

$$\mathbf{S} = \sum_{k=1}^N (\mathbf{x}_k - \mathbf{m})(\mathbf{x}_k - \mathbf{m})^T$$

Notice the scatter matrix just looks like N times the sample covariance matrix of the data.

19/86

Principal Component Analysis-Derivation

To minimize J_1 we want to maximize $\mathbf{e}^T \mathbf{S} \mathbf{e}$ subject to the constraint that $|\mathbf{e}| = \mathbf{e}^T \mathbf{e} = 1$. Using Lagrange multipliers we write

$$u = \mathbf{e}^T \mathbf{S} \mathbf{e} - \lambda \mathbf{e}^T \mathbf{e}$$

Differentiating u w.r.t \mathbf{e} and setting to zero we get:

$$2\mathbf{S} \mathbf{e} - 2\lambda \mathbf{e} = 0$$

or

$$\mathbf{S} \mathbf{e} = \lambda \mathbf{e}$$

So to maximize $\mathbf{e}^T \mathbf{S} \mathbf{e}$ we want to select the eigenvector of \mathbf{S} corresponding to the largest eigenvalue of \mathbf{S} .

20/86

Principal Component Analysis-Derivation

Problem 3: How do we find the best d directions?

Express \mathbf{x} as

$$\mathbf{x} = \mathbf{m} + \sum_{i=1}^d a_i \mathbf{e}_i$$

In this case, we can write the mean square error as

$$J_d = \sum_{k=1}^N |(\mathbf{m} + \sum_{i=1}^d a_{ki} \mathbf{e}_i) - \mathbf{x}_k|^2$$

and it is not hard to show that J_d is minimized when the vectors $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_d$ correspond to the d largest eigenvectors of the scatter matrix \mathbf{S} .

21/86

Where Are We?

1 Linear Discriminant Analysis

- LDA - Motivation
- Eigenvectors and Eigenvalues
- PCA - Derivation
- **LDA - Derivation**
- Applying LDA to Speech Recognition

22/86

Linear Discriminant Analysis - Derivation

What if the class variation does NOT lie along the directions of maximum data variance?

Let us say we have vectors corresponding to c classes of data. We can define a set of scatter matrices as above as

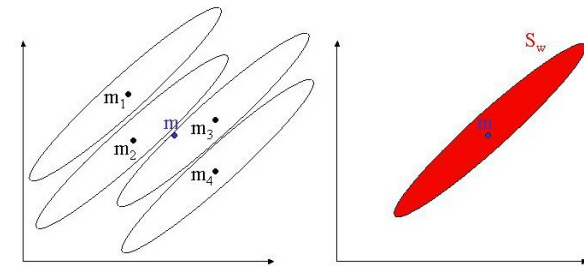
$$\mathbf{S}_i = \sum_{\mathbf{x} \in \mathcal{D}_i} (\mathbf{x} - \mathbf{m}_i)(\mathbf{x} - \mathbf{m}_i)^T$$

where \mathbf{m}_i is the mean of class i . In this case we can define the within-class scatter (essentially the average scatter across the classes relative to the mean of each class) as just:

$$\mathbf{S}_W = \sum_{i=1}^c \mathbf{S}_i$$

23/86

Linear Discriminant Analysis - Derivation

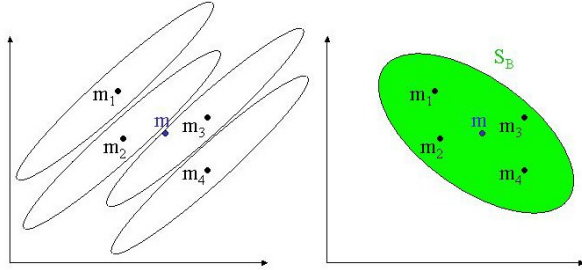


24/86

Linear Discriminant Analysis - Derivation

Another useful scatter matrix is the between class scatter matrix, defined as

$$\mathbf{S}_B = \sum_{i=1}^c (\mathbf{m}_i - \mathbf{m})(\mathbf{m}_i - \mathbf{m})^T$$

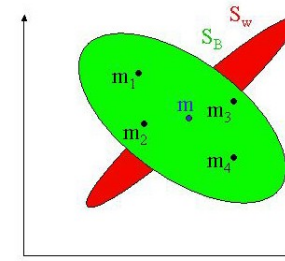


25 / 86

Linear Discriminant Analysis - Derivation

We would like to determine a set of directions \mathbf{V} such that the classes c are maximally discriminable in the new coordinate space given by

$$\tilde{\mathbf{x}} = \mathbf{V}\mathbf{x}$$



26 / 86

Linear Discriminant Analysis - Derivation

A reasonable measure of discriminability is the ratio of the volumes represented by the scatter matrices. Since the determinant of a matrix is a measure of the corresponding volume, we can use the ratio of determinants as a measure:

$$J = \frac{|\mathbf{S}_B|}{|\mathbf{S}_W|}$$

Why is this a good thing?

So we want to find a set of directions that maximize this expression.

27 / 86

Linear Discriminant Analysis - Derivation

With a little bit of manipulation similar to that in PCA, it turns out that the solution are the eigenvectors of the matrix

$$\mathbf{S}_W^{-1}\mathbf{S}_B$$

which can be generated by most common mathematical packages.

28 / 86

Where Are We?

Linear Discriminant Analysis

- LDA - Motivation
- Eigenvectors and Eigenvalues
- PCA - Derivation
- LDA - Derivation
- Applying LDA to Speech Recognition

29/86

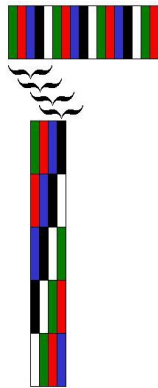
Linear Discriminant Analysis in Speech Recognition

The most successful uses of LDA in speech recognition are achieved in an interesting fashion.

- Speech recognition training data are aligned against the underlying words using the Viterbi alignment algorithm described in Lecture 4.
- Using this alignment, each cepstral vector is tagged with a different phone or sub-phone. For English this typically results in a set of 156 (52x3) classes.
- For each time t the cepstral vector \mathbf{x}_t is spliced together with $N/2$ vectors on the left and right to form a “supervector” of N cepstral vectors. (N is typically 5-9 frames.) Call this “supervector” \mathbf{y}_t .

30/86

Linear Discriminant Analysis in Speech Recognition



31/86

Linear Discriminant Analysis in Speech Recognition

- The LDA procedure is applied to the supervectors \mathbf{y}_t .
- The top M directions (usually 40-60) are chosen and the supervectors \mathbf{y}_t are projected into this lower dimensional space.
- The recognition system is retrained on these lower dimensional vectors.
- Performance improvements of 10%-15% are typical.
- Almost no additional computational or memory cost!

32/86

Where Are We?

- 1 Linear Discriminant Analysis
- 2 Maximum Mutual Information Estimation
- 3 ROVER
- 4 Consensus Decoding

33/86

Where Are We?

- 2 Maximum Mutual Information Estimation
 - Discussion of ML Estimation
 - Basic Principles of MMI Estimation
 - Overview of MMI Training Algorithm
 - Variations on MMI Training

34/86

Training via Maximum Mutual Information

Fundamental Equation of Speech Recognition:

$$p(S|O) = \frac{p(O|S)p(S)}{P(O)}$$

where S is the sentence and O are our observations. $p(O|S)$ has a set of parameters θ that are estimated from a set of training data, so we write this dependence explicitly: $p_{\theta}(O|S)$.

We estimate the parameters θ to maximize the likelihood of the training data. Is this the best thing to do?

35/86

Main Problem with Maximum Likelihood Estimation

The true distribution of speech is (probably) not generated by an HMM, at least not of the type we are currently using.

Therefore, the optimality of the ML estimate is not guaranteed and the parameters estimated may not result in the lowest error rates.

Rather than maximizing the likelihood of the data given the model, we can try to maximize the a posteriori probability of the model given the data:

$$\theta_{\text{MMI}} = \arg \max_{\theta} p_{\theta}(S|O)$$

36/86

Where Are We?

2 Maximum Mutual Information Estimation

- Discussion of ML Estimation
- Basic Principles of MMI Estimation
- Overview of MMI Training Algorithm
- Variations on MMI Training

37/86

Comparison to ML Estimation

In ordinary ML estimation, the objective is to find θ :

$$\theta_{\text{ML}} = \arg \max_{\theta} \sum_i \log p_{\theta}(\mathbf{O}_i | S_i)$$

Advantages:

- Only need to make computations over correct sentence.
- Simple algorithm (F-B) for estimating θ

MMI much more complicated.

39/86

MMI Estimation

It is more convenient to look at the problem as maximizing the logarithm of the a posteriori probability across all the sentences:

$$\begin{aligned} \theta_{\text{MMI}} &= \arg \max_{\theta} \sum_i \log p_{\theta}(S_i | \mathbf{O}_i) \\ &= \arg \max_{\theta} \sum_i \log \frac{p_{\theta}(\mathbf{O}_i | S_i) p(S_i)}{p_{\theta}(\mathbf{O}_i)} \\ &= \arg \max_{\theta} \sum_i \log \frac{p_{\theta}(\mathbf{O}_i | S_i) p(S_i)}{\sum_j p_{\theta}(\mathbf{O}_i | S_i^j) p(S_i^j)} \end{aligned}$$

where S_i^j refers to the j th possible sentence hypothesis given a set of acoustic observations \mathbf{O}_i

38/86

Administrivia

- Make-up class: Wednesday, 4:10–6:40pm, right here.
 - Deep Belief Networks!
- Lab 4 to be handed back Wednesday.
- Next Monday: presentations for non-reading projects.
- Papers due next Monday, 11:59pm.
 - Submit via Courseworks DropBox.

40/86

Where Are We?

2 Maximum Mutual Information Estimation

- Discussion of ML Estimation
- Basic Principles of MMI Estimation
- Overview of MMI Training Algorithm
- Variations on MMI Training

41/86

MMI Training Algorithm

The MMI objective function is

$$\sum_i \log \frac{p_\theta(\mathbf{O}_i | S_i) p(S_i)}{\sum_j p_\theta(\mathbf{O}_i | S_j) p(S_j)}$$

We can view this as comprising two terms, the numerator, and the denominator.

We can increase the objective function in two ways:

- Increase the contribution from the numerator term.
- Decrease the contribution from the denominator term.

Either way this has the effect of increasing the probability of the correct hypothesis relative to competing hypotheses.

43/86

MMI Training Algorithm

A forward-backward-like algorithm exists for MMI training [2].

Derivation complex but resulting estimation formulas are surprisingly simple.

We will just present formulae for the means.

42/86

MMI Training Algorithm

Let

$$\Theta_{mk}^{num} = \sum_{i,t} \mathbf{o}_i(t) \gamma_{mki}^{num}(t)$$

$$\Theta_{mk}^{den} = \sum_{i,t} \mathbf{o}_i(t) \gamma_{mki}^{den}(t)$$

$\gamma_{mki}^{num}(t)$ are the observation counts for state k , mixture component m , computed from running the forward-backward algorithm on the “correct” sentence S_i and

$\gamma_{mki}^{den}(t)$ are the counts computed across all the sentence hypotheses for S_i

Review: What do we mean by counts?

44/86

MMI Training Algorithm

The MMI estimate for μ_{mk} is:

$$\mu_{mk} = \frac{\Theta_{mk}^{num} - \Theta_{mk}^{den} + D_{mk}\mu'_{mk}}{\gamma_{mk}^{num} - \gamma_{mk}^{den} + D_{mk}}$$

- The factor D_{mk} is chosen large enough to avoid problems with negative count differences.
- Notice that ignoring the denominator counts results in the normal mean estimate.
- A similar expression exists for variance estimation.

45/86

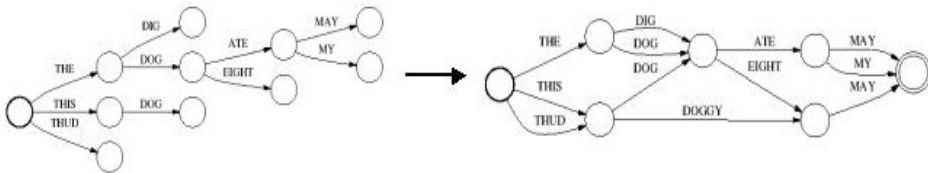
Computing the Denominator Counts

The major component of the MMI calculation is the computation of the denominator counts. Theoretically, we must compute counts for every possible sentence hypothesis. How can we reduce the amount of computation?

46/86

Computing the Denominator Counts

1. From the previous lectures, realize that the set of sentence hypotheses are just captured by a large HMM for the entire sentence:



Counts can be collected on this HMM the same way counts are collected on the HMM representing the sentence corresponding to the correct path.

47/86

Computing the Denominator Counts

2. Use a ML decoder to generate a “reasonable” number of sentence hypotheses and then use FST operations such as determinization and minimization to compactify this into an HMM graph (*lattice*).
3. Do not regenerate the lattice after every MMI iteration.

48/86

Other Computational Issues

Because we ignore correlation, the likelihood of the data tends to be dominated by a very small number of lattice paths (Why?).

To increase the number of confusable paths, the likelihoods are scaled with an exponential constant:

$$\sum_i \log \frac{p_\theta(\mathbf{O}_i | S_i)^\kappa p(S_i)^\kappa}{\sum_j p_\theta(\mathbf{O}_i | S_j)^\kappa p(S_j)^\kappa}$$

For similar reasons, a weaker language model (unigram) is used to generate the denominator lattice. This also simplifies denominator lattice generation.

49/86

Where Are We?

- 2 Maximum Mutual Information Estimation
 - Discussion of ML Estimation
 - Basic Principles of MMI Estimation
 - Overview of MMI Training Algorithm
 - Variations on MMI Training

51/86

Results

MMIE Iteration	%WER	
	eval97sub	eval98
0 (MLE)	44.4	45.6
1	42.4	43.7
1 (3xCHE)	42.0	43.5
2	41.8	42.9
2 (3xCHE)	41.9	42.7

Table 6: Word error rates when using h5train00 training with and without CHE data weighting (3xCHE).

Adaptation	% WER eval98	
	MLE	MMIE
None	44.6	42.5
MLLR	42.1	39.9

Table 8: Effect of MLLR on MLE and MMIE trained models.

Note that results hold up on a variety of other tasks as well.

50/86

Variations and Embellishments

- MPE - Minimum Phone Error.
- BMMI - Boosted MMI.
- MCE - Minimum Classification Error.
- FMPE/fMMI - feature-based MPE and MMI.

52/86

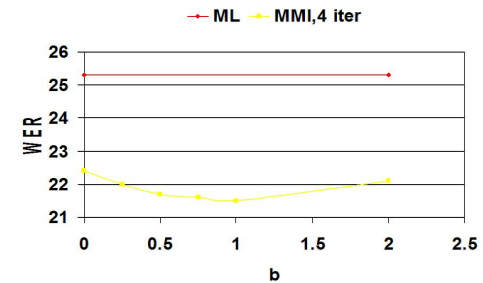
$$\sum_i \frac{\sum_j p_\theta(\mathbf{O}_i | S_j)^\kappa p(S_j)^\kappa A(S_{ref}, S_j)}{\sum_j p_\theta(\mathbf{O}_i | S_j^i)^\kappa p(S_j^i)^\kappa}$$

- $A(S_{ref}, S_j)$ is a phone-frame accuracy function. A measures the number of correctly labeled frames in S .
- Povey [3] showed this could be optimized in a way similar to that of MMI.
- Usually works somewhat better than MMI itself.

53/86

$$\sum_i \log \frac{p_\theta(\mathbf{O}_i | S_i)^\kappa p(S_i)^\kappa}{\sum_j p_\theta(\mathbf{O}_i | S_j^i)^\kappa p(S_j^i)^\kappa \exp(-bA(S_j^i, S_{ref}))}$$

- A is a phone-frame accuracy function as in MPE.
- Boosts contribution of paths with lower phone error rates.



54/86

Various Comparisons

Language	Arabic	English	English	English
Domain	Telephony	News	Telephony	Parliament
Hours	80	50	175	80
ML	43.2	25.3	31.8	8.8
MPE	36.8	19.6	28.6	7.2
bMMI	35.9	18.1	28.3	6.8

55/86

MCE

$$\sum_i f(\log \frac{p_\theta(\mathbf{O}_i | S_i)^\kappa p(S_i)^\kappa}{\sum_j p_\theta(\mathbf{O}_i | S_j^i)^\kappa p(S_j^i)^\kappa \exp(-bA(S_j^i, S_i))})$$

where $f(x) = \frac{1}{1+e^{2\rho x}}$

- The sum over competing models explicitly excludes the correct class (unlike the other variations)
- Comparable to MPE, not aware of comparison to bMMI.

56/86

$$y_t = O_t + Mh_t$$

- h_t are the set of Gaussian likelihoods for frame t . May be clustered into a smaller number of Gaussians, may also be combined across multiple frames.
- The training of M is exceedingly complex involving both the gradients of your favorite objective function with respect to M as well as the model parameters θ with multiple passes through the data.
- Rather amazingly gives significant gains both with and without MMI.

57/86

References

- 📄 P. Brown (1987) “The Acoustic Modeling Problem in Automatic Speech Recognition”, PhD Thesis, Dept. of Computer Science, Carnegie-Mellon University.
- 📄 P.S. Gopalakrishnan, D. Kanevsky, A. Nadas, D. Nahamoo (1991) “ An Inequality for Rational Functions with Applications to Some Statistical Modeling Problems”, IEEE Trans. on Acoustics, Speech and Signal Processing, 37(1) 107-113, January 1991
- 📄 D. Povey and P. Woodland (2002) “Minimum Phone Error and i-smoothing for improved discriminative training”, Proc. ICASSP vol. 1 pp 105-108.

59/86

English BN 50 Hours, SI models

	RT03	DEV04f	RT04
ML	17.5	28.7	25.3
fBMMI	13.2	21.8	19.2
fbBMMI+ bMMI	12.6	21.1	18.2

Arabic BN 1400 Hours, SAT Models

	DEV07	EVAL07	EVAL06
ML	17.1	19.6	24.9
fMPE	14.3	16.8	22.3
fMPE+ MPE	12.6	14.5	20.1

58/86

Where Are We?

- 1 Linear Discriminant Analysis
- 2 Maximum Mutual Information Estimation
- 3 ROVER
- 4 Consensus Decoding

60/86

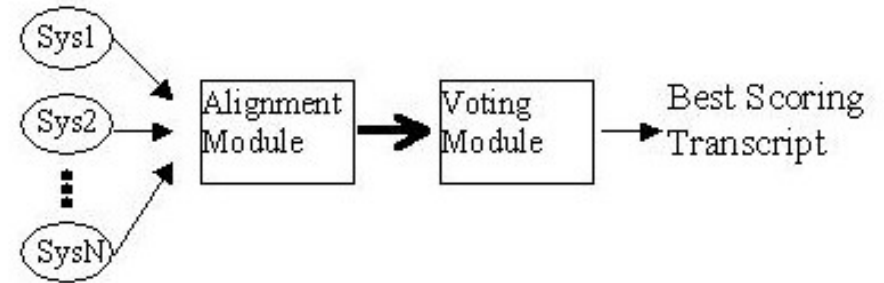
ROVER - Recognizer Output Voting Error Reduction[1]

Background:

- Compare errors of recognizers from two different sites.
- Error rate performance similar - 44.9% vs 45.1%.
- Out of 5919 total errors, 738 are errors for only recognizer A and 755 for only recognizer B.
- Suggests that some sort of voting process across recognizers might reduce the overall error rate.

61/86

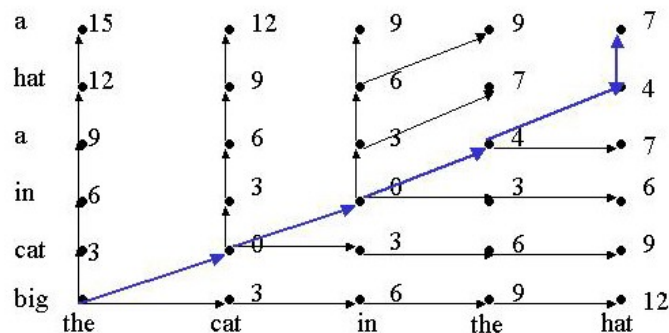
ROVER - Basic Architecture



- Systems may come from multiple sites.
- Can be a single site with different processing schemes.

62/86

ROVER - Text String Alignment Process



$$\text{score}(m,n) = \min \{ \text{score}(m-1,n-1) + 4 * \text{no_match}(m,n), \text{score}(m-1,n) + 3, \text{score}(m,n-1) + 3 \}$$

63/86

ROVER - Example

Sample "Sentences"

HYP-1 • a • b • c • d •
HYP-2 • b • z • d • e •
HYP-3 • b • c • d • e • f •

Sample Alignment

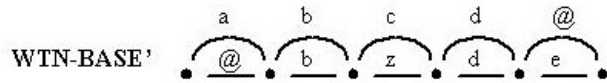
BASE-HYP1 • a • b • c • d • *****
HYP-2 • ***** • b • z • d • e •
 CS1 CS2 CS3 CS4 CS5

Symbols aligned against each other are called "Confusion Sets"

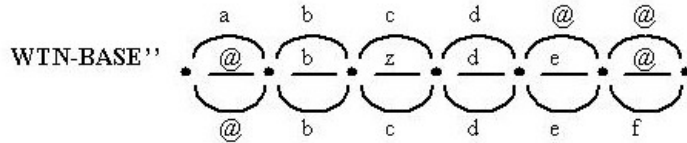
64/86

ROVER - Process

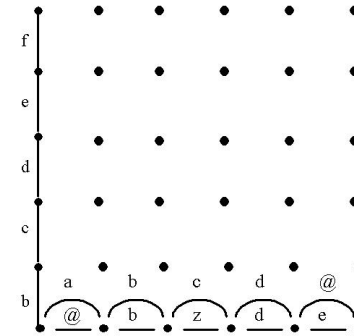
After First Alignment



After Alignment Against WTN-BASE''



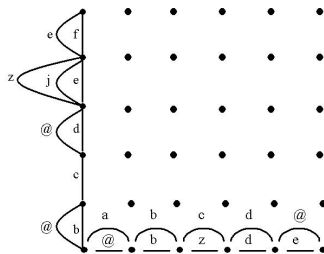
ROVER - Aligning Strings Against a Network



Solution: Alter cost function so that there is only a substitution cost if no member of the reference network matches the target symbol.

$$score(m, n) = \min(score(m-1, n-1)+4*no_match(m, n), score(m-1, n)+3, score(m, n-1)+3)$$

ROVER - Aligning Networks Against Networks



No so much a ROVER issue but will be important for confusion networks.
Problem: How to score relative probabilities and deletions?

$$Solution: no_match(s_1, s_2) = (1 - p_1(winner(s_2)) + 1 - p_2(winner(s_1)))/2$$

ROVER - Vote

- Main Idea: for each confusion set, take word with highest frequency.

SYS1	SYS2	SYS3	SYS4	SYS5	ROVER
44.9	45.1	48.7	48.9	50.2	39.7

- Improvement very impressive - as large as any significant algorithm advance.

ROVER - Example

Example Confusion Set

bbl1.ctm	there's	a	lot	of	@	like	societies	@	@	ruin	engineers	and	lakes
cmu-is11.ctm	there's	the	labs	@	@	like	societies	@	@	women	engineers	i	think
cu-htk2.ctm	there's	the	last	@	@	like	societies	@	true	of	engineers	and	like
dragon1.ctm	was	@	alive	@	the	legal	society	is	for	women	engineers	and	like
sri1.ctm	there's	a	lot	of	@	like	society's	@	@	through	engineers	@	like

- Error not guaranteed to be reduced.
- Sensitive to initial choice of base system used for alignment
 - typically take the best system.

69/86

ROVER - As a Function of Number of Systems [2]

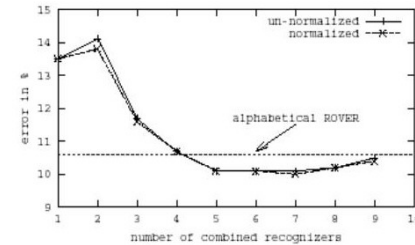


Figure 1: 1998 Broadcast News word error rates in function of the number of combined systems (individual error ranked order).

- Alphabetical: take systems in alphabetical order.
- Curves ordered by error rate.
- Note error actually goes up slightly with 9 systems.

70/86

ROVER - Types of Systems to Combine

- ML and MMI.
- Varying amount of acoustic context in pronunciation models (Triphone, Quinphone)
- Different lexicons.
- Different signal processing schemes (MFCC, PLP).
- Anything else you can think of!

Rover provides an excellent way to achieve cross-site collaboration and synergy in a relatively painless fashion.

71/86

References

- 📄 J. Fiscus (1997) "A Post-Processing System to Yield Reduced Error Rates", IEEE Workshop on Automatic Speech Recognition and Understanding, Santa Barbara, CA
- 📄 H. Schwenk and J.L. Gauvain (2000) "Combining Multiple Speech Recognizers using Voting and Language Model Information" ICSLP 2000, Beijing II pp. 915-918

72/86

Where Are We?

- 1 Linear Discriminant Analysis
- 2 Maximum Mutual Information Estimation
- 3 ROVER
- 4 Consensus Decoding

73/86

Consensus Decoding[1] - Introduction

Problem

- Standard SR evaluation procedure is word-based.
- Standard hypothesis scoring functions are sentence-based.

Goal: Explicitly minimize word error metric

- If a word occurs across many sentence hypotheses with high posterior probabilities it is more likely to be correct.
- For each candidate word, sum the word posteriors and pick the word with the highest posterior probability.

74/86

Consensus Decoding - Motivation

TABLE I: Example illustrating the difference between sentence and word error measures.

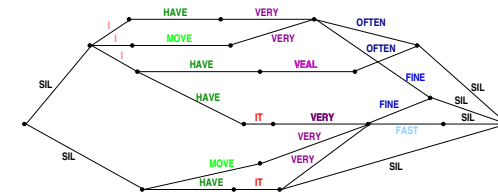
Hypothesis (H)			$P(H A)$	$P(w_1 A)$	$P(w_2 A)$	$P(w_3 A)$	$E[\text{correct}]$
w_1	w_2	w_3					
I	DO	INSIDE	0.16	0.34	0.29	0.16	0.79
I	DO	FINE	0.13	0.34	0.29	0.28	0.91
BY	DOING	FINE	0.11	0.45	0.49	0.28	1.22
BY	DOING	WELL	0.11	0.45	0.49	0.11	1.05
BY	DOING	SIGHT	0.10	0.45	0.49	0.10	1.04
BY	DOING	BYE	0.07	0.45	0.49	0.07	1.01
BY	DOING	THOUGHT	0.05	0.45	0.49	0.07	0.99
I	DOING	FINE	0.04	0.34	0.49	0.28	1.11
I	DON'T	BUY	0.01	0.34	0.01	0.01	0.36
BY	DOING	FUN	0.01	0.45	0.49	0.01	0.95

- Original work was done off N-best lists.
- Lattices much more compact and have lower oracle error rates.

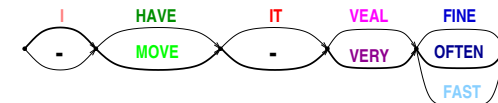
75/86

Consensus Decoding - Approach

Find a multiple alignment of all the lattice paths
Input Lattice:



Multiple Alignment:



76/86

Consensus Decoding Approach - Clustering Algorithm

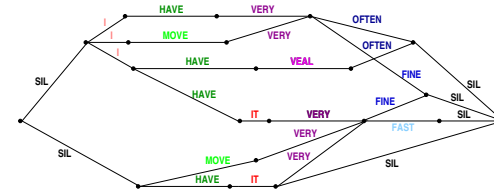
Initialize Clusters: form clusters consisting of all the links having the same starting time, ending time and word label

Intra-word Clustering: merge only clusters which are "close" and correspond to the same word

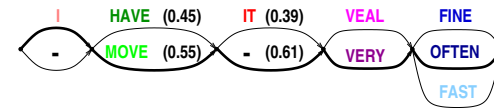
Inter-word Clustering: merge clusters which are "close"

Obtaining the Consensus Hypothesis

Input:



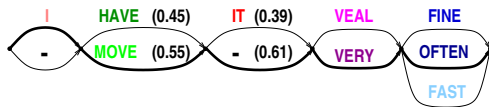
Output:



77/86

78/86

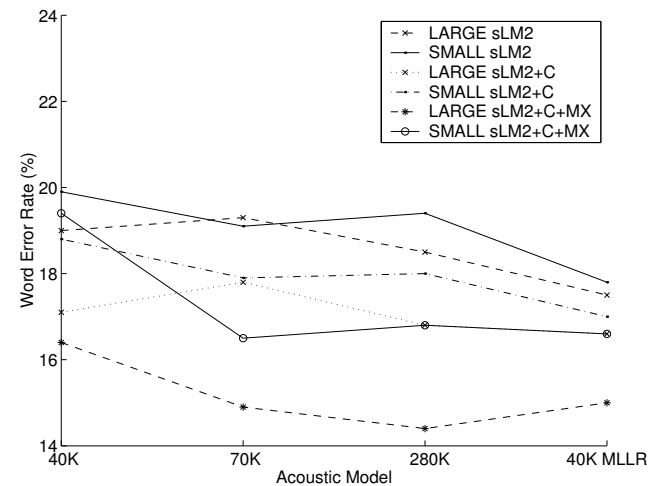
Confusion Networks



- Confidence Annotations and Word Spotting
- System Combination
- Error Correction

79/86

Consensus Decoding on DARPA Communicator



80/86

Consensus Decoding on Broadcast News

Word Error Rate (%)								
	Avg	F0	F1	F2	F3	F4	F5	FX
C-	16.5	8.3	18.6	27.9	26.2	10.7	22.4	23.7
C+	16.0	8.5	18.1	26.1	25.8	10.5	18.8	22.5

Word Error Rate (%)								
	Avg	F0	F1	F2	F3	F4	F5	FX
C-	14.0	8.6	15.8	19.4	15.3	16.0	5.7	44.8
C+	13.6	8.5	15.7	18.6	14.6	15.3	5.7	41.1

81/86

Consensus Decoding on Voice Mail

Word Error Rate (%)		
System	Baseline	Consensus
S-VM1	30.2	28.8
S-VM2	33.7	31.2
S-VM3	42.4	41.6
ROVER	29.2	28.5

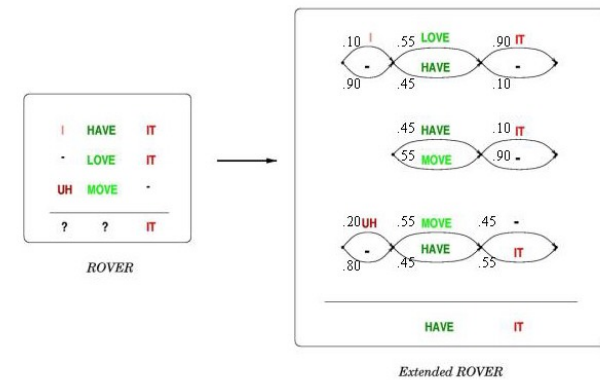
82/86

System Combination Using Confusion Networks

If we have multiple systems, we can combine the concept of ROVER with confusion networks as follows:

- Use the same process as ROVER to align confusion networks.
- Take the overall confusion network and add the posterior probabilities for each word.
- For each confusion set, pick the word with the highest summed posteriors.

System Combination Using Confusion Networks



(b) System Combination

83/86

84/86

Results of Confusion-Network-Based System Combination

		eval98	eval00	
		WER	WER	NCE
single system	Quin MMIE	36.0	26.5	0.284
2-way (MMIE)	Rover conf	35.6	25.7	0.267
	CNC	35.2	25.6	0.278
4-way	Rover vote	35.8	25.9	
	Rover conf	35.4	25.5	0.262
	CNC	35.0	25.4	0.271

Table 4: System Combination Results

Meetings task	Word Error Rate (%)				
	MMI PLP	ML max PLP	ML mean PLP	ML max MFCC	SPAM
MAP	36.5	36.4	38.9	35.9	36.3
CONS	34.8	34.6	38.8	34.7	35.3
Δ WER	-1.7	-1.8	-1.1	-1.2	-1.0

WER Extended ROVER : **33.6%**

References

- L. Mangu, E. Brill and A. Stolcke (2000) "Finding consensus in speech recognition: word error minimization and other applications of confusion networks", *Computer Speech and Language* 14(4), 373-400.